



# 2017 OFFICIAL GRAND SLAM<sup>®</sup> RULE BOOK



**2017**  
**OFFICIAL GRAND SLAM<sup>®</sup>**  
**RULE BOOK**

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# **GRAND SLAM<sup>®</sup> RULES**

## **ARTICLE I: GENERAL**

### **A. PURPOSE AND APPLICABILITY**

These Grand Slam Rules are promulgated by the Grand Slam Board for the purpose of ensuring the orderly and fair administration of the four Grand Slam Tournaments. Each player entrant in a Grand Slam Tournament agrees as a condition of such entry to abide by and be subject to these Grand Slam Rules, which hereinafter includes the Grand Slam Tournament Regulations and the Grand Slam Code of Conduct, as amended from time to time by the Grand Slam Board.

### **B. GRAND SLAM TOURNAMENTS**

The Grand Slam Tournaments are the four official International Championships of Australia, France, Great Britain and the United States. Each Grand Slam Tournament offers on-site prize money to the players competing therein based upon their performance at the tournament.

### **C. GRAND SLAM BOARD**

The Grand Slam Board (GSB) is responsible for the coordination and management of activities of mutual interest to the four Grand Slam Tournaments – The Australian Open, Roland Garros, Wimbledon and the US Open. These activities include but are not limited to: Grand Slam Rules, Regulations and Code of Conduct, Officiating, Tournament Calendars and all contractual relationships with governing bodies and third parties.

### **D. OPEN COMPETITION / NO DISCRIMINATION**

The four Grand Slam Tournaments are open for entry to all internationally ranked tennis players based on merit and without discrimination subject only to the conditions herein set forth and to those provisions of the WTA Age Eligibility Rule adopted by the Grand Slam Tournaments and provided, however, that minors under the age of fourteen (14) shall not be eligible for entry. For purposes of this Rule, the player's age as of the first day of the tournament's Main Draw shall be used.

### **E. PUBLICITY, PROMOTION AND DATA**

Each player grants and assigns to the events that he enters, the right in perpetuity to make, use and show from time to time and at their discretion, motion pictures, still pictures and live, taped or filmed television and other reproductions of him during said events and in connection with the promotion of said events without compensation for himself, his heirs, devisees, executors, administrators or assigns. Each player also agrees to cooperate with the news media and to participate upon request in reasonable promotional activities of the Grand Slam Tournaments. Such



activities by a player shall not be represented as an endorsement by the player of any product or company.

In addition, it is understood and agreed that all rights in data in any way produced and/or generated during the events (Data), including but not limited to statistics, live scoring and Player Analysis Technology data and similar data, shall be vested in the events and shall be available to them for their use, including licensing, assignment and/or other promotional and commercial purposes. To the extent any player has any rights in the Data, the player grants and assigns those rights irrevocably to the events.

#### **F. WAIVER OF CLAIMS**

All players entering Grand Slam Tournaments agree, as a condition of their entry, that for themselves, their executors, administrators, heirs and personal representatives, all claims of any kind, nature and description are waived, including past, present or future claims and injuries, if any, sustained in travelling to or from, or participating in, a Grand Slam Tournament, as against the Grand Slam Board and the organisers and sponsors of the tournaments.

#### **G. AMENDMENTS**

These Grand Slam Rules, Grand Slam Tournament Regulations and Grand Slam Code of Conduct may only be amended, repealed or otherwise modified, in whole or in part, by the Grand Slam Board.

# **GRAND SLAM<sup>®</sup> TOURNAMENT REGULATIONS**

## **ARTICLE I: GENERAL**

### **A. PURPOSE**

The Grand Slam Board (GSB) promulgates these Grand Slam Tournament Regulations for the purpose of providing fair and reasonable rules for the orderly conduct and operation of the four Grand Slam Tournaments in as uniform a manner as possible while respecting the positive distinctions of each event.

### **B. APPLICABILITY**

These Grand Slam Tournament Regulations, as well as the Grand Slam Rules and Grand Slam Code of Conduct, shall be applicable to all Grand Slam Tournaments and all players participating therein, including wheelchair tennis players and any wheelchair regulations adopted by the Grand Slam Tournaments.

### **C. SIZE OF DRAWS**

Each Tournament shall have singles, Main and Qualifying draws of a maximum of one hundred and twenty eight (128) and shall have a doubles Main Draw of a maximum of sixty four (64), unless otherwise authorised by the GSB. Each Grand Slam Tournament shall have the option of providing a doubles Qualifying tournament.

### **D. RAIN AND FORCE MAJEURE**

Grand Slam Tournaments may be extended as necessary for completion.

### **E. WILD CARDS / NO LIMITATION**

There shall be no limitation as to the number of Wild Cards a player may receive to compete in the Grand Slam Tournaments.

### **F. ENTRY FEES**

There shall be no entry fees for Grand Slam Tournaments.

### **G. ENTRIES / SYSTEMS OF MERIT**

The merit-based systems utilised by each Grand Slam Tournament as the guideline for the acceptance of entries and for the resolution of all ties shall be the singles and doubles computer rankings systems adopted by the GSB.

## **H. TOURNAMENT DOCTOR**

Each Grand Slam Tournament will have a Tournament Doctor and Sports Medicine Therapist/Trainer available at all times during the event.

## **I. BALLS**

Each Grand Slam Tournament will provide tennis balls in accordance with the following:

1. Six (6) balls are to be provided for each Main Draw match and are to be changed after the first seven (7) and thereafter every nine (9) games throughout the tournament. Ball changes and the number of balls used per match shall be the same for all matches throughout the tournament and may be altered only with approval of the Referee in consultation with the Grand Slam Chief of Supervisors.
2. If a ball is lost or becomes unplayable then another may be added as soon as possible for use in play; when there are fewer than four (4) balls remaining, then another ball must be added for use in play. During the warm-up or within two (2) games after a change of ball, a new ball shall be used as a replacement; otherwise a used ball of like wear shall be supplied. Play must be continuous even if a ball needs to be replaced.
3. In case of a suspended or postponed match, the balls used in the warm-up will not be the balls used when play resumes.
4. At least three (3) new balls per day for practice must be available free of charge to each player accepted in the Main Draw or Qualifying competition from the day prior to the commencement of the tournament until he is eliminated. Balls of the make to be used in the Main Draw tournament are to be available for a reasonable period prior to the commencement of the tournament. Players must return practice balls.

## **J. GRAND SLAM FINANCIAL OBLIGATIONS**

### **1. Prize Money**

The players in each Grand Slam Tournament shall be compensated on the basis of their performance by on-site prize money which shall be distributed by each Grand Slam Tournament during or at the conclusion of the tournament. Except for on-site prize money no player shall be offered or paid any other money or anything of value except for amateur or professional expenses set forth below, unless additional financial and/or other incentives for players are specifically developed and approved by the GSB. Prize money shall be paid only for matches played. In Men's and Women's Doubles, teams withdrawing will receive ranking points and prize money for the previous round, unless the withdrawing player had withdrawn/retired from

his/her singles match on the same or the previous day or withdraws from his/her singles match on the following day. In Mixed Doubles, teams withdrawing or retiring will receive prize money for the previous round.

The Referee shall have authority to withhold prize money, in whole or in part, if a player retires or withdraws for medical reasons and fails to report to the Tournament Doctor for an on-site medical examination.

For the purpose of this Rule a match is played when it is won as a result of any injury or a misconduct default of an opponent. An amateur who enters a Grand Slam Tournament and begins his first match as an amateur may not receive prize money during that tournament.

**2. Form of Payment, Deductions Notice**

Each Grand Slam Tournament shall pay prize money in national currency unless otherwise approved by the GSB. All Grand Slam Tournaments are required to give notice of all applicable income tax, VAT tax and any other taxes that are to be deducted from players' prize money. Each Grand Slam Tournament shall furnish on-site to the player an official form documenting all such deductions from his prize money. A summary report of all such monies paid and deductions, including any fines assessed, shall be forwarded to the Director, Grand Slam Board.

**3. Amateur Expenses**

Grand Slam Tournaments may pay expenses to amateurs who are eligible to and do compete. Such expenses are limited to the actual and reasonable expenses of an amateur incurred pursuant to his participation in the Tournament. The payment of expenses cannot be conditioned on the success of the amateur in the event.

**4. Expenses for Professionals**

Grand Slam Tournaments may at their option provide housing, meals and local transportation for players provided that the same are available to all competitors without discrimination.

**K. RULES OF TENNIS AND DUTIES AND PROCEDURES FOR OFFICIALS**

The Rules of Tennis and Duties and Procedures for Officials published by the International Tennis Federation (ITF) shall apply in all Grand Slam Tournament matches, unless otherwise specified by the GSB.

**L. NUMBER OF SETS, TIE-BREAK**

All men's singles Main Draw matches in Grand Slam Tournaments shall be the best of five (5) sets. All other matches shall be the best of three (3) or the best of five (5) sets unless otherwise determined by each Grand Slam Tournament.

The tie-breaks specified in the Rules of Tennis may be used in the Qualifying competition and the Main events as determined by each Grand Slam Tournament.

## **M. REFEREE**

In each Grand Slam Tournament the Referee in consultation with the Grand Slam Chief of Supervisors, shall:

1. Act as final on-site authority for the interpretation of these Grand Slam Rules, Grand Slam Tournament Regulations, Grand Slam Code of Conduct, Duties and Procedures for Officials and Rules of Tennis as to all matters arising that require immediate resolution at the tournament site.
2. Prior to the tournament conduct such training sessions and meetings as may be necessary to familiarise thoroughly all officials with all applicable Rules and Procedures.
3. Appoint a Chief Umpire and Electronic Review Officials and ensure that their duties and responsibilities are performed properly.
4. Approve the assignment of all Chair Umpires and Line Umpires for matches.
5. Liaise with the Chair Umpires and Line Umpires and decide on the competence of these officials.
6. Remove a Chair Umpire and/or remove, rotate or replace any Line Umpire or Net Umpire whenever he decides it is necessary to improve the officiating of a match.
7. Ensure that the tournament is conducted fairly in accordance with these Grand Slam Rules, Grand Slam Tournament Regulations, Grand Slam Code of Conduct, Duties and Procedures for Officials and the Rules of Tennis.
8. Ensure that each court, net, net posts and singles sticks conform to the specifications in the Rules of Tennis and that each court is equipped as follows:
  - a. **Chair Umpire's Chair**

The Chair Umpire's chair is recommended to be a minimum of six (6) feet (1.82 metres) and a maximum of eight (8) feet (2.44 metres) in height.

The Chair Umpire's chair shall be centred along an extension of the net approximately three (3) feet (0.9 metres) from the net post.

If a microphone is to be used it must have an "on-off" switch. It must be easily adjustable and not hand held.

There should be a sunscreen available.
  - b. **Line Umpires' Chairs**

Chairs for Service and Base Line Umpires should be located on an extension of their respective lines along the side fence. They shall not

be elevated above the surface of the court and should be positioned no less than twelve (12) feet (3.66 metres) from the side of the court. Chairs for Centre Service and Side Line Umpires should be located in the corners at the back of the court unless otherwise directed.

Whenever the sun is a factor, Line Umpires' chairs should be positioned so that Line Umpires are not facing the sun.

When the sun is not a factor, Line Umpire's chairs should be positioned on the opposite side of the court from the Chair Umpire.

- c.** Net Device or Net Umpire's Chair  
A Net Device or a chair for the Net Umpire which shall be located at the net post on the opposite side from the Chair Umpire.
  - d.** Players' Chairs  
Chairs for the players shall be located on each side of the Chair Umpire.
  - e.** On-court Service  
Water, electrolyte drinks, cups, towels and sawdust shall be available to the players during each match.
  - f.** Measuring Device  
A measuring stick, tape measure or other measuring device shall be available for the measuring of the net height and location of the singles sticks.
  - g.** PDA or Scorecard, Stopwatch  
A PDA or Scorecard, Stopwatch shall be available to the Chair Umpire of each match.
- 9.** Determine and notify competitors of the conditions of play (i.e. make of ball, number of balls, ball changes, type of surface, number of sets, tie-break/advantage sets and other items of interest), prior to the commencement of the tournament.
  - 10.** Designate in a highly visible place in a general players' area an Official Bulletin Board and notify all players of its designation and location. The daily Order of Play shall be posted on the Official Bulletin Board as soon as issued. It is the responsibility of all players to ascertain their schedules from the Referee for each day's play.
  - 11.** Designate a visible timepiece at a fixed location as the Official Clock of the tournament and notify all players of its designation and location.
  - 12.** Designate a specific area from which matches shall be called in accordance with the Order of Play using all available and reasonable means. Players must be ready to play when their matches are called. In unusual cases the Referee

shall determine when a match is to be called or when a match was in fact called.

13. Prior to making the draws consult with the Grand Slam Chief of Supervisors and a player representative to determine:
  - a. the final entry lists
  - b. the computer ranking to the extent necessary for seeding
  - c. any other relevant information necessary for making the draw
14. Make the draws for the Qualifying and Main competitions.
15. Post all sign-in documents (Qualifying, Main Draws, Alternates and Lucky Losers) in the Referee's office with appropriate notices on the Official Bulletin Board.
16. Prepare the daily Order of Play with the matches scheduled on specific courts to follow each other consecutively without delay or, where appropriate, with the matches scheduled and clearly designated as "not before" a certain time. Once the Order of Play has been released it should not be changed.
  - a. **Prior Tournament**

Prior to scheduling matches for the first day of play, and although the Referee is not required to do so, the Referee should take into consideration the preceding week's tournaments to determine to what extent players still competing therein may have difficulties in arriving for play. To the extent possible, and providing it does not jeopardise the fairness of scheduling and the completion of the tournament, the Referee should schedule matches so that players with reasonable difficulties can be reasonably accommodated.
  - b. **Qualifying**

The Qualifying competition for singles should be scheduled for completion no later than the day before the commencement of play in the Main Draw. If conditions force Qualifying matches to be played on the same day of the Main Draw, successful Qualifiers first round Main Draw matches should be delayed until the next day wherever possible. Matches should be scheduled so that a player will not be required to play more than two Qualifying matches in the same day, except when weather or unavoidable circumstances cause schedule disruption.
  - c. **Main Draws**

Players shall not be scheduled for more than one singles and one doubles match per day, unless weather or other unavoidable circumstances have caused schedule disruption. A player's singles match on any particular day shall be scheduled before his doubles matches unless otherwise directed by the Referee.

17. Decide if a court is fit for play.
18. Decide if a match should be moved to another court. If bad weather or other unavoidable circumstances causes disruption or suspension of a match in progress, then if necessary to eliminate the possibility of a player having to play two (2) singles matches in one day or if necessary to complete the event, move a match to another court. In all other cases a match shall not be moved after it has officially started, i.e. the first serve of the first point has been struck, except with the agreement of the players, which agreement shall not be unreasonably withheld.  
Whenever possible a change of courts should be made at the end of a set or after an even number of games in the set in progress.
19. Decide when play should be postponed because of weather conditions, inadequate light or other conditions. If play is postponed on account of darkness such postponement should be made at the end of a set or an even number of games in the set in progress.
20. Ensure delivery whenever possible to every player cited for an on-site offence a copy of the relevant Grand Slam Code of Conduct form.
21. Be present on-site at all times during play. The Referee may not be a Chair Umpire or Chief Umpire in the Tournament.

**N. GRAND SLAM OFFICIATING/REFEREE, CHIEF OF GRAND SLAM SUPERVISORS AND GRAND SLAM SUPERVISORS**

The Referee shall be the final authority on all officiating matters at each Grand Slam Tournament. The Chief of Grand Slam Supervisors shall serve as a special consultant to the Referee on all officiating matters in order to ensure the uniform application of the rules. The other Grand Slam Supervisors shall assist the Chief of Grand Slam Supervisors and the Referee as they may request and direct.

**O. CHIEF UMPIRE**

The Chief Umpire, in consultation with the Referee and Grand Slam Chief of Supervisors, shall:

1. Recruit a sufficient number of competent officials for the tournament and submit a list of these officials to the Referee and the Administrator of the Grand Slam Officiating Programme at least ninety (90) days in advance of the Championship for review.
2. Conduct the necessary pre-tournament training of officials including review of the Rules of Tennis, the Grand Slam Tournament Regulations, the Grand Slam Code of Conduct and the Duties and Procedures for Officials.



3. Prepare a List of Officials which shall include the name, mailing address and certifications, if any, of all officials used during the tournament. A copy of such list shall be delivered to the Referee and the Grand Slam Chief of Supervisors.
4. Arrange meetings with the Referee, Grand Slam Chief of Supervisors and other officials prior to the tournament.
5. Schedule the on-court assignments of officials for each day of the tournament, subject to the approval of the Referee in consultation with the Grand Slam Chief of Supervisors. Officials for the quarter-finals, semi-finals and finals should have worked a minimum of two (2) matches prior to the quarter-finals.
6. Conduct meetings with all on-court officials to specify court assignments and the procedures to be used for making calls, hand signals, rotation of court assignments, etc.
7. Evaluate the performance of all on-court officials.
8. Be present on-site at all times during play. The Chief Umpire shall not be a Chair Umpire or Line Umpire in the Tournament.
9. Assist the Referee, Grand Slam Chief of Supervisors and Grand Slam Supervisors in the performance of their duties.

**P. CHAIR UMPIRE**

The Chair Umpire shall:

1. Be completely familiar with all aspects of the Grand Slam Rules, Grand Slam Tournament Regulations, Grand Slam Code of Conduct, Rules of Tennis and the Duties and Procedures for Officials. His duties shall be carried out in accordance with the approved procedures of the GSB, including any electronic officiating aids and review systems.
2. Dress uniformly with other Chair Umpires as prescribed by the Referee.
3. Find out the correct pronunciation of the players' names.
4. Be on court prior to the arrival of players.
5. Immediately prior to the match meet with the players to:
  - a. State any relevant information for the players.
  - b. Toss a coin in the presence of both players/teams to determine choice of serve and side at the beginning of the match prior to the warm-up. If

- play is suspended before the match begins, the players may choose again; the result of the toss stands.
- c. Determine if each player is dressed in accordance with the dress provisions of the Grand Slam Code of Conduct. Corrective action taking more than fifteen (15) minutes may result in a default. An appropriate re-warm-up may be authorized.
6. Have a stopwatch which shall be used to time the warm-up (normally five (5) minutes), the twenty (20) seconds permitted between points, the ninety (90) seconds permitted during changeovers and the one hundred and twenty (120) seconds permitted during set breaks and all other specific time periods designated under the provisions of any Rule or Regulation.
  7. Be responsible for having the appropriate number of balls on court for the match, including used replacement balls. The cans with new balls should be opened just before the match/ball change.
  8. Determine all Questions of Fact arising during the match (including warm-up).
  9. Ensure that the rules are observed by the players and all on-court officials.
  10. Remove, rotate or replace any Line Umpire or Net Umpire whenever he/she decides it will improve the officiating of the match.
  11. Make the first determination on all Questions of Tennis Law arising during the match, subject to the right of a player to appeal to the Referee in consultation with the Grand Slam Chief of Supervisors.
  12. Announce the score after each point in accordance with GSB procedures.
  13. Repeat the calls of a Line Umpire or Net Umpire only if the call is made in a weak voice or there is a close call that must be confirmed to remove any doubt from the minds of the players.
  14. Mark a Scorecard during the match in accordance with the approved GSB procedures.
  15. Overrule a Line Umpire only in the case of a clear mistake by the Line Umpire and only if the overrule is made promptly after the mistake is made. Clear foot faults not called by a Line Umpire, shall be called by the Chair Umpire consistent with the procedures for handling clear mistakes.
  16. Be responsible for any ball mark inspection. There shall be no ball mark inspection except on clay courts.

17. Exercise his best efforts to control the crowd. Whenever the spectators are impeding the progress of the match, the Chair Umpire should address them respectfully and request their cooperation.
18. Be responsible for the direction of the ballpersons during the match so that they assist, but do not disturb, the players.
19. Be responsible for all changes of balls and for determining if a ball is fit for play. The appropriate number of ball containers should be opened and inspected sufficiently in advance of each ball change so as to avoid any delay of the match.
20. Determine if a court continues to be fit for play. If a change in condition occurs during a match that the Chair Umpire considers sufficient to make the court unfit for play or if weather or other conditions require suspension of play, he should suspend play and report the same to the Referee. During a suspension and until a match is postponed, the Chair Umpire must ensure that he and all other on-court officials are ready to resume the match. If suspension is due to darkness it should be at the end of a set or after an even number of games in the set in progress. Upon suspension or any postponement by the Referee, the Chair Umpire shall record the time, point, game and set score, the name of the server, the side on which each player was situated and shall collect all balls in use for the match.
21. At the conclusion of a match make a full report to the Referee and Grand Slam Chief of Supervisors concerning all actions taken under the Grand Slam Code of Conduct during the match.

**Q. LINE UMPIRE**

A Line Umpire shall:

1. Carry out all his duties in accordance with the approved procedures of the GSB.
2. Dress uniformly with other Line Umpires as prescribed by the Grand Slam Tournament Committee. Line Umpires should not wear clothing in light colours that can interfere with the vision of the players.
3. Be on time for all assignments.
4. Take a position necessary to have the best view of his assigned line.
5. Call all balls on his assigned line only and not give opinions on calls on other lines.
6. Call foot faults when assigned to a baseline, sideline or centre service line.

7. Give a prompt unsighted signal when not able to make a call.
8. Correct a wrong call immediately.
9. Never call a ball “Out/Fault” until it actually hits out.
10. Remain silent if the Chair Umpire overrules a call. All player enquiries must be referred to the Chair Umpire.
11. Report immediately to the Chair Umpire if he/she witnesses a Code Violation not witnessed by the Chair Umpire.
12. Go with a player who takes a toilet or change of attire break to ensure the player does not use the break for any other purposes. If a violation of the procedure takes place, the Line Umpire shall tell the player that he/she is breaking the rules and report this to the Chair Umpire.
13. Not catch balls or hold towels for players.
14. Not converse with spectators.
15. Never applaud players.
16. Not leave the court without the permission of the Chair Umpire.

## **R. PLAYER REPRESENTATION**

A player representative shall be appointed to represent the interests of the players at the tournament.

## **S. BALLPERSONS**

All ballpersons shall assist the players as directed by the Chair Umpire. Ballpersons should not wear clothing in light colours that can interfere with the vision of the players.

## **T. SCHEDULING / ORDER OF PLAY**

Each Grand Slam Tournament is responsible for the scheduling of matches and daily Order of Play. These decisions, before finalised or changed, should be made in close co-operation and consultation with the Tournament Director, Referee, Grand Slam Chief of Supervisors, player representative and/or any other parties helpful to a full and careful deliberation.

## **U. ON-SITE DECISIONS AND APPEALS**

### **1. Questions of Fact**

A Question of Fact is defined as an issue relating to what actually occurred during a specific instance. Questions of Fact arising during a match shall be determined by the on-court officials for that match and such determinations are binding on the players and Referee.

A player may request verification by the Chair Umpire of a call or other determination of fact on a point-ending call made by an on-court official.

The request, verification and resumption of play must all be completed within the twenty (20) seconds allowed between points, unless the Chair Umpire determines that an extension is necessary. If an extension is granted it shall be concluded with the announcement "Let's Play".

#### **a. Player Appeal**

A player may never appeal a determination on a Question of Fact to the Referee.

#### **b. Overrule**

The Chair Umpire may overrule a Line Umpire only in the case of a clear mistake by the Line Umpire and only if the overrule is made promptly after the mistake is made.

##### **i. Clear Mistake**

It is difficult to define a "Clear Mistake" any more precisely. As a matter of practice the Chair Umpire must be in a position to make a determination that a call was erroneous beyond any reasonable doubt. Chair Umpires should never make an overrule on a ball that is a close call. As a practical matter, to overrule a ball determined "good" by a Line Umpire, the Chair Umpire must have been able to see a space between the ball and the line. Likewise to overrule an "Out" or "Fault" call by a Line Umpire, the Chair Umpire must have seen the ball hit on or inside the line.

##### **ii. Promptly**

The Rules of Tennis requires in addition to a "Clear Mistake" that the Chair Umpire must overrule promptly, i.e. immediately after the Line Umpire makes the Clear Mistake. The overrule call must be made almost simultaneously with the Clear Mistake of the Line Umpire.

A Chair Umpire may never make an overrule as a result of a protest or appeal by a player.

A Line Umpire may never change a call as a result of a protest or appeal by the player.

## **2. Questions of Tennis Law**

A Question of Tennis Law is defined as an issue relating to the construction and application of specified facts of these Grand Slam Rules, Grand Slam Code of Conduct and/or the Rules of Tennis. During a match, Questions of Tennis Law shall first be determined by the Chair Umpire. If the Chair Umpire is uncertain or if a player appeals from his determination, then the decision shall be made by the Referee. The decision of the Referee in consultation with the Grand Slam Chief of Supervisors shall be final and non-appealable.

### **a. Player Appeal**

Players shall have the right to appeal against any ruling of Tennis Law in accordance with the procedures hereinafter set forth.

When a player is of the opinion that a ruling by the Chair Umpire on a matter of Tennis Law is incorrect, he may appeal the ruling by notification to the Chair Umpire in a professional and non-abusive manner. Thereupon, the Chair Umpire shall turn off all microphones in the area of the Chair and immediately call for the Referee. Upon his arrival the Chair Umpire shall state all the facts of the incident and the Referee shall be bound by the facts as so determined and stated. Then the Chair Umpire shall state his ruling on the applicable Tennis Law and the player shall state his position with respect to such ruling. The Referee shall review briefly the applicable rules with the player and the Chair Umpire and either affirm or reverse the ruling. Thereafter, play shall be resumed upon the statement by the Referee "Let's play" and the players must proceed to commence play. Every effort should be made to determine such an appeal within twenty (20) seconds and upon the statement "Let's play" the twenty (20) second clock shall commence.

## **V. TOURNAMENT / MATCH COMMENCEMENT**

A tournament officially starts when the first serve of the first match is struck. A match officially starts when the first serve is struck.

## **W. PLAYERS' REST / MEDICAL**

### **1. Between Qualifying and Main Draw**

Other than in exceptional circumstances, no player shall be required to play his first round match in the singles Main Draw until at least twelve (12) hours after the completion of his final Qualifying match. If a player plays two (2) singles Qualifying matches in one day, he should not be scheduled on the following day for his first round singles match, unless such player is accepted into the tournament as a Lucky Loser.

## 2. **Between Matches**

Except when weather or other unavoidable circumstances causes schedule disruption, players shall be scheduled for a maximum of one (1) singles match and one (1) doubles match per day, which shall not be scheduled less than twelve hours after the completion of the last match of such player on the preceding day or round.

Whenever it is necessary to schedule more than one match in the same day such player shall, unless he is in a singles and doubles finals to be played consecutively, be given the following minimum rest periods:

If he has played less than 1 hour	- ½ hour rest
If he has played between 1 hour and 1½ hours	- 1 hour rest
If he has played more than 1½ hours	- 1½ hours rest

## 3. **Medical**

### a. **Medical Conditions**

A medical condition is a medical illness or a musculoskeletal injury that warrants medical evaluation and/or medical treatment by the Physiotherapist/Athletic Trainer (also known as the Primary Health Care Provider) during the warm-up or the match.

- **Treatable Medical Conditions**
  - Acute medical condition: the sudden development of a medical illness or musculoskeletal injury during the warm-up or the match that requires immediate medical attention.
  - Non-acute medical condition: a medical illness or musculoskeletal injury that develops or is aggravated during the warm-up or the match and requires medical attention at the changeover or set break.
- **Non-Treatable Medical Conditions**
  - Any medical condition that cannot be treated appropriately, or that will not be improved by available medical treatment within the time allowed.
  - Any medical condition (inclusive of symptoms) that has not developed or has not been aggravated during the warm-up or the match.
  - General player fatigue.
  - Any medical condition requiring injections or intravenous infusions, except for diabetes, for which prior medical certification has been obtained, and for which subcutaneous injections of insulin may be administered. The use of supplemental oxygen is not permitted at any time.

**b. Medical Evaluation**

During the warm-up or the match, the player may request through the Chair Umpire for the Physiotherapist/Athletic Trainer to evaluate him/her during the next change over or set break. Only in the case that a player develops an acute medical condition that necessitates an immediate stop in play may the player request through the Chair Umpire for the Physiotherapist/Athletic Trainer to evaluate him/her immediately.

The purpose of the medical evaluation is to determine if the player has developed a treatable medical condition and, if so, to determine when medical treatment is warranted. Such evaluation should be performed within a reasonable length of time, balancing player safety on the one hand, and continuous play on the other. At the discretion of the Physiotherapist/Athletic Trainer, such evaluation may be performed in conjunction with the Tournament Doctor, and may be performed off-court. \*

If the Physiotherapist/Athletic Trainer determines that the player has a non-treatable medical condition, then the player will be advised that no medical treatment will be allowed.

**c. Medical Time-Out**

A Medical Time-Out is allowed by the Referee in consultation with the Grand Slam Supervisor or Chair Umpire when the Physiotherapist/Athletic Trainer has evaluated the player and has determined that additional time for medical treatment is required. The Medical Time-Out takes place during a change over or set break, unless the Physiotherapist/Athletic Trainer determines that the player has developed an acute medical condition that requires immediate medical treatment.

The Medical Time-Out begins when the Physiotherapist/Athletic Trainer is ready to start treatment. At the discretion of the Physiotherapist/Athletic Trainer, treatment during a Medical Time-Out may take place off-court, and may proceed in conjunction with the Tournament Doctor. \*

The Medical Time-Out is limited to three (3) minutes of treatment.

A player is allowed one (1) Medical Time-Out for each distinct treatable medical condition. All clinical manifestations of heat illness shall be considered as one (1) treatable medical condition. All treatable musculoskeletal injuries that manifest as part of a kinetic chain continuum shall be considered as one (1) treatable medical condition.



*Muscle Cramping:* A player may receive treatment for muscle cramping only during the time allotted for change of ends and/or set breaks. Players may not receive a Medical Time-Out for muscle cramping.

In cases where there is doubt about whether the player suffers from an acute medical condition, non-acute medical condition inclusive of muscle cramping, or non-treatable medical condition, the decision of the Physiotherapist/Athletic Trainer, in conjunction with the Tournament Doctor, if appropriate, is final. If the Physiotherapist/Athletic Trainer believes that the player has heat illness, and if muscle cramping is one of the manifestations of heat illness, then the muscle cramping may only be treated as part of the recommended treatment by the Physiotherapist/Athletic Trainer for the heat illness condition.

*Note:*

A player who has stopped play by claiming an acute medical condition, but is determined by the Physiotherapist/Athletic Trainer and/or Tournament Doctor to have muscle cramping, shall be ordered by the Chair Umpire to resume play immediately.

If the player cannot continue playing due to severe muscle cramping, as determined by the Physiotherapist/Athletic Trainer and/or Tournament Doctor, he/she may forfeit the point(s)/game(s) needed to get to a change of ends or set-break in order to receive treatment. There may be a total of two (2) full change of ends treatments for muscle cramping in a match, not necessarily consecutive.

If it is determined by the Chair Umpire or Referee in consultation with the Grand Slam Supervisor that gamesmanship was involved, then a Code Violation for Unsportsmanlike Conduct could be issued.

A total of two (2) consecutive Medical Time-Outs may be allowed by the Referee in consultation with the Grand Slam Supervisor or Chair Umpire for the special circumstance in which the Physiotherapist/Athletic Trainer determines that the player has developed at least two (2) distinct acute and treatable medical conditions. This may include: a medical illness in conjunction with a musculoskeletal injury; two or more acute and distinct musculoskeletal injuries. In such cases, the Physiotherapist/Athletic Trainer will perform a medical evaluation for the two or more treatable medical conditions during a single evaluation, and may then determine that two consecutive Medical Time-Outs are required.

**d. Medical Treatment**

A player may receive on-court medical treatment and/or supplies from the Physiotherapist/Athletic Trainer and/or Tournament Doctor during any changeover or set break. As a guideline, such medical treatment

should be limited to two (2) changeovers/set breaks for each treatable medical condition, before or after a Medical Time-Out, and need not be consecutive. Players may not receive medical treatment for non-treatable medical conditions.

**e. Penalty**

After completion of a Medical Time-Out or medical treatment, any delay in resumption of play shall be penalized by Code Violations for Delay of Game.

Any player abuse of this Medical Rule will be subject to penalty in accordance with the Unsportsmanlike Conduct section of the Code of Conduct.

**f. Bleeding**

If a player is bleeding, the Chair Umpire must stop play as soon as possible, and the Physiotherapist/Athletic Trainer must be called to the court by the Chair Umpire for evaluation and treatment. The Physiotherapist/Athletic Trainer, in conjunction with the Tournament Doctor if appropriate, will evaluate the source of the bleeding, and will request a Medical Time-Out for treatment if necessary.

If requested by the Physiotherapist/Athletic Trainer and/or Tournament Doctor, the Referee in consultation with the Grand Slam Supervisor or Chair Umpire may allow up to a total of five (5) minutes to assure control of the bleeding.

If blood has spilled onto the court or its immediate vicinity, play must not resume until the blood spill has been cleaned appropriately.

**g. Vomiting**

If a player is vomiting, the Chair Umpire must stop play if vomiting has spilled onto the court, or if the player requests medical evaluation. If the player requests medical evaluation, then the Physiotherapist/Athletic Trainer must determine if the player has a treatable medical condition, and if so, whether the medical condition is acute or non-acute.

If vomiting has spilled onto the court, play must not resume until the vomit spill has been cleaned appropriately.

**h. Physical Incapacity**

During a match, if there is an emergency medical condition and the player involved is unable to make a request for a Physiotherapist/Athletic Trainer, the Chair Umpire shall immediately call for the Physiotherapist/Athletic Trainer and Tournament Doctor to assist the player.

Either before or during a match, if a player is considered unable physically to compete, the Physiotherapist/Athletic Trainer and/or Tournament Doctor should inform the Referee and/or Grand Slam

Supervisor and recommend that the player is ruled unable to compete in the match to be played, or retired from the match in progress.

The Referee in consultation with the Grand Slam Supervisor shall use great discretion before taking this action and should base the decision on the best interests of professional tennis, as well as taking all medical advice and any other information into consideration.

The player may subsequently compete in another event at the same tournament if the Tournament Doctor determines that the player's condition has improved to the extent that the player may safely physically perform at an appropriate level of play, whether the same day or on a later day.

- \* It is recognized that national laws or governmental or other binding regulations imposed upon the event by authorities outside its control may require more compulsory participation by the Tournament Doctor in all decisions regarding diagnosis and treatment.

#### **4. Toilet/Change of Attire Break**

A player is allowed to request permission to leave the court for a reasonable time for a toilet break/change of attire break (women's events). Toilet breaks should be taken on a set break and can be used for no other purpose. Change of attire breaks (women's events) must be taken on a set break.

In women's singles events a player is entitled to two (2) breaks during a match.

In men's singles events a player is entitled to one (1) toilet break during a best of three (3) set match and two (2) toilet breaks during a best of five (5) set match.

In all doubles matches, each team is entitled to a total of two (2) breaks. If partners leave the court together, it counts as one of the team's authorised breaks. Any time a player leaves the court for a toilet break, it is considered one of the authorised breaks regardless of whether or not the opponent has left the court. Any toilet break taken after a warm-up has started is considered one of the authorised breaks.

Additional breaks will be authorised but will be penalised in accordance with the Point Penalty Schedule if the player is not ready to play within the allowed time.

Any player abuse of this rule will be subject to penalty in accordance with the Unsportsmanlike Conduct section of the Code of Conduct.

## **X. SUSPENSION AND POSTPONEMENT**

The Chair Umpire or the Referee in consultation with the Grand Slam Chief of Supervisors may suspend a match temporarily due to darkness or conditions of the grounds or weather. Any such suspension by a Chair Umpire must be reported immediately to the Referee. The Referee must, however, make all decisions

regarding the postponement of a match until a later day. Unless and until a match is postponed by the Referee, the players, the Chair Umpire and all on-court officials must remain ready to resume the match. If postponement is due to darkness it should be at the end of a set or after an even number of games have been played in the set in progress. Upon suspension/postponement of a match, the Chair Umpire shall record the time, point, game and set score, the name of the server, the sides on which each player was situated and shall collect all balls in use for the match. In the case of a suspended or postponed match, the period of re-warm-up shall be as follows:

0-15 minutes delay	-	No re-warm-up
15-30 minutes delay	-	Three (3) minutes re-warm-up
30 or more minutes delay	-	Five (5) minute re-warm-up

## **Y. FACILITIES REQUIREMENTS**

### **1. Court**

The Court shall conform to the specifications in the Rules of Tennis.

### **2. Preparation of Courts**

Clay courts shall be swept and lines cleaned before the start of all matches.

### **3. Net**

The net band shall be cloth, canvas, plastic or vinyl, and the net shall extend to the ground, unless otherwise approved by the Referee. Spare nets shall be readily available.

### **4. Chairs**

Chairs shall be provided for players on each side of the Chair Umpire.

### **5. Scoreboard**

The scoreboard shall be placed at the corner or side of the court and shall not obscure the playing visibility.

### **6. Back Walls, Net and Fixtures**

The back fence, walls, net, net post, linesmen boxes and other fixtures on a court shall not be painted or otherwise have any light colours thereon that can interfere with the vision of the players as determined by the Referee.

### **7. Banners**

Whenever possible banners should be placed in locations, other than on the back walls of the courts, where they cannot interfere with the players' vision. If banners are placed on the back walls, they may not be in light colours that can interfere with the vision of the players as determined by the Referee.

**8. Lighting**

Whenever a Grand Slam Tournament plays under artificial lighting the lighting must be evenly distributed on the court with an intensity of illumination sufficient for professional tennis.

**9. Practice Courts**

Each Grand Slam Tournament shall provide for the players a sufficient number of practice courts with the same surface and conditions as the Main Draw in order to prepare for and compete in the event.

**Z. ENTRY PROCEDURES**

**1. Singles Entries and Withdrawals**

Unless otherwise approved by the Grand Slam Board, each Grand Slam Tournament will have an independent Entry Form for purposes of player entries into the event.

**a. Closing Date**

The closing date for entries and withdrawals for the singles Main Draw in each Grand Slam Tournament is forty-two (42) days prior to the first Monday of the tournament except that a player on the Alternate list for the singles Main Draw may withdraw at any time prior to such time as he is moved into the Direct Acceptance on account of withdrawals of other players. The closing date for entries and withdrawals for the Singles Qualifying tournaments is twenty-eight (28) days prior to the first Monday of the tournament.

**b. Method of Entry or Withdrawal**

All entries and withdrawals must be on official entry forms and signed personally by the player or his authorised representative. Entries and/or withdrawals must be received on or before the prescribed deadline for each Grand Slam Tournament. Players may submit their entries and/or withdrawals either directly to the Tournament or to the Director, Grand Slam Board at the addresses listed herein. Withdrawals or defaults must be made through the Referee.

**c. Late Entries**

Any player who submits an entry after the due date may only be accepted into the singles Main Draw as a Wild Card or as a Qualifier.

**d. Extensions**

The GSB may in its discretion extend the deadline for entries and/or withdrawals if it determines such action to be necessary when unforeseen circumstances arise.

- e. **Late Withdrawal**  
Any player who withdraws after the deadline for withdrawal shall be subject to the sanctions provided in the Grand Slam Code of Conduct.
- f. **No Play After Late Withdrawal**  
If a player withdraws from the singles event after the singles withdrawal date for any reason, he may not play in any other tournament or special event during the period of the tournament or compete in the doubles event of the tournament, unless expressly authorised by the GSB. A violation of this Section shall be punishable by the sanctions set forth in the Code.
- g. **One Tournament During Grand Slam Tournament**  
Once a player enters a Grand Slam Tournament and is accepted into the Main Draw of singles or signs in the Qualifying competition, he cannot be committed to any other tournament during the period of that Grand Slam Tournament, unless expressly authorised by the GSB.

## 2. **Singles Main Draw**

Each Grand Slam Tournament shall specify the time and place that the Referee shall publicly make the singles draw. The draw shall be composed as follows:

- a. **Direct Acceptances**  
Players who are accepted directly in the draw by virtue of their computer singles ranking. The computer ranking to be used shall be that dated approximately forty-two (42) days prior to the commencement of the tournament.
- b. **Wild Cards**  
Players who are included in the draw at the sole discretion of the individual Grand Slam Tournament Committee. Wild Cards may be seeded. Wild Cards must be named at the time the draw is made and Wild Cards that withdraw or default may not be replaced with new Wild Cards after the draw is made, except as provided under Section f. iii. herein. Such position shall be filled by the next eligible person on the entry list, or if the Qualifying competition has commenced, it shall be filled by the eligible Lucky Loser. The Qualifying competition commences when the first ball of the first Qualifying match is struck. No player may be named as a Wild Card who has competed in the Qualifying competition of that tournament.
- c. **Qualifiers**  
Players who are included in the draw as a result of their success in a Qualifying competition.  
If the Main Draw is made prior to the completion of a Qualifying competition, it shall include the appropriate number of qualifying

places. Each of these qualifying places will be positioned in the Main Draw in accordance with the standard drawing procedures. Determination as to which Qualifier goes into which qualifying place will be effected by drawing after the Qualifying competition ends.

**d. Vacancies**

After the draw is made and prior to the commencement of the Qualifying competition, all vacancies created by the withdrawal of unseeded players, including Wild Card withdrawals, shall be filled by the next highest ranked player(s) on the original entry list. After the Qualifying competition commences, such vacancies may only be filled by eligible Lucky Losers.

**e. Composition**

Unless otherwise agreed, the Singles Main Draw shall be composed as follows:

	Total Accepted	Direct Acceptances	Qualifiers	Wild Cards
Men	128	104	16	8
Women	128	104, 108, 112	16, 12, 8	8

**f. Seeds**

Players who are given preferential positions in the draw.

**i. Seeds Required**

All Grand Slam Tournaments will have a seeded draw. There shall be only one seeding list.

**ii. Selection of Seeds**

The selection of seeds will be at the discretion of each individual Grand Slam Tournament Committee, however, the computer ranking list dated approximately seven (7) days prior to the tournament shall be a primary, but not sole, basis for such selection.

**iii. Seedings Official/Remake of Draw/Replacement of Seeds**

Seedings will not be official until the final draw is made. If two or more of the top eight seeds withdraw more than forty-eight (48) hours before the start of the tournament, the tournament may choose either to remake the draw or let the draw stand. Notwithstanding anything else contained herein, if a Wild Card withdraws after the original draw and the draw is to be remade, the vacancy created may be filled at the tournament's option by a substitute Wild Card; if the tournament elects not to use a

substitute Wild Card, the vacancy shall be treated as any other vacancy below.

Any vacancy created by the withdrawal of a seed prior to the release of the Order of Play for the first day of Main Draw shall be filled as follows:

- If the withdrawal is among seeds 1 to 4, the 5<sup>th</sup> seed is moved into then open position, the 5<sup>th</sup> position shall be filled by the 17<sup>th</sup> seed, and the 17<sup>th</sup> position shall be filled by the next highest ranked player eligible to be seeded.
- If the withdrawal is among seeds 5 to 16, the 17<sup>th</sup> seed is moved into the open position and the 17<sup>th</sup> position shall be filled by the next highest ranked player eligible to be seeded.
- If the withdrawal is among seeds 17 to 32 it shall be filled by the next highest ranked player eligible to be seeded.

The position vacated by that next highest ranked player shall then be filled by the next player on the original entry list if prior to the commencement of the Qualifying competition or by the eligible Lucky Loser if after the commencement of the Qualifying competition.

Any vacancy created by the withdrawal of a seed which occurs after the release of the Order of Play for the first day of the Main Draw shall be filled by eligible Lucky Losers.

iv. Number of Seeds

The minimum number of seeded players in the Main Draw will be 16.

v. Procedure for Placing Seeds

- (1) Place Seed 1 on Line 1 and Seed 2 on Line 32 (32 draw), Line 64 (64 draw) or Line 128 (128 draw).
- (2) To determine the placement of the remaining seeds, draw in pairs of two (Seeds 3 and 4) and groups of four (Seeds 5-8, 9-12 and 13-16) and groups of eight (seeds 17-24 and 25-32) from top to bottom as follows:



	32 draw (8 seeds)	64 draw (16 seeds)	128 draw (16 seeds)	128 draw (32 seeds)
Seeds 3-4	9	17	33	33
	24	48	96	96
Seeds 5-8	8	16	32	32
	16	32	64	64
	17	33	65	65
	25	49	97	97
Seeds 9-12		9	17	17
		25	49	49
		40	80	80
		56	112	112
Seeds 13-16		8	16	16
		24	48	48
		41	81	81
		57	113	113
Seeds 17-24				9
				24
				41
				56
				73
				88
				105
				120
Seeds 25-32				8
				25
				40
				57
				72
				89
				104
			121	

**g. Method of Draw**

A draw sheet for 128 places will be used.

The placement of seeds as heretofore provided. The remaining players, including the Qualifiers, will be drawn and placed into the vacant spaces in the draw, beginning at the top of the draw.

**h. Lucky Losers and Substitutions**

**i. Lucky Losers**

Lucky Losers are those players who have lost in the final round of the Qualifying competition or, if more Lucky Losers are required, those players who have lost in the previous qualifying rounds. Lucky Losers shall be selected based on the computer rankings used for the determination of qualifying seedings as follows:

The order of the four (4) highest ranked players shall be randomly drawn, thereafter the order shall follow the players' rankings, unless there are more than two (2) main draw withdrawals at the time the Qualifying competition is finished in which case the size of the random draw will be the number of withdrawals plus two (2).

The Lucky Loser list will be posted each day at least one (1) hour prior to the sign-in deadline which will be one-half (½) hour before the first point is played in the first match. Such list shall not be available for sign-in on the preceding day.

**ii. Substitutions**

A Lucky Loser shall be inserted in the appropriate position as a substitution for any player who withdraws or is withdrawn before the first point is played in his first match.

In order to be eligible for substitution, a Lucky Loser must:

- (1) report to the Referee and sign the Lucky Loser Sign-In Record at least one-half (½) hour prior to the start of the first match of each day, and
- (2) be ready to play within five (5) minutes for men's events and fifteen (15) minutes for women's events after the announcement of the default.

If the eligible Lucky Loser is not available to play, in men's events he shall be placed at the bottom of the Lucky Loser priority list for that day corresponding to the qualifying round in which he lost and in women's events she shall forfeit her Lucky Loser status for the duration of the tournament. If the eligible player is playing in the doubles event at the time he is called as a Lucky Loser in singles, the singles match may be rescheduled so he can fill the Lucky Loser position, provided the Referee determines that such rescheduling of the singles match does not cause an unreasonable disruption in the schedule; otherwise the next available Lucky Loser shall be selected to fill such vacancy.

**iii. Vacancy During Qualifying**

If a Lucky Loser position should become available during the Qualifying competition, it shall be treated the same as the qualifying places for placement in the Main Draw.

- iv. **Vacancy After Qualifying**  
If a Lucky Loser position becomes available after the qualifying places are drawn in the Main Draw, the eligible Lucky Loser will be inserted in the appropriate position. If a position becomes available during the day, the eligible Lucky Loser will be inserted in the appropriate position at the time the withdrawal is confirmed. Unless otherwise determined by the Referee, positions becoming available after the start of play for the start of the last match of each day will be filled by the eligible Lucky Loser on the successive day of play. When two (2) or more positions become available at the same time, during the foregoing period, the positions to be occupied by each Lucky Loser will be determined by lot.

**3. Singles Qualifying Competition**

The Qualifying competition shall be a single elimination tournament. There shall be no pre-qualifying event, however nothing herein shall prevent a Grand Slam Tournament from conducting a separate or local event to determine Wild Cards in the Qualifying competition or Main Draw and unsuccessful participants in said event are not prohibited from entering the Qualifying competition if they are otherwise qualified therefore. If the Qualifying competition is not held at the same site as the Main Draw, the Grand Slam Tournaments will provide suitable facilities and equipment at such alternate site.

- a. **Surface**  
The Qualifying competition will be played on the same surface as the Main Draw. However, if there are circumstances beyond the control of the Referee, qualifying matches may be held on a surface different from that of the main event.
- b. **Minimum Size**  
The minimum number of places in the singles Qualifying competition will be the same as in the Main Draw unless otherwise authorised by the GSB.
- c. **Qualifying Competition Required**  
All Grand Slam Tournaments must have a Qualifying competition unless there are places available in the Main Draw for all players listed on the applicable computer rankings who have entered or unless otherwise authorised by the GSB.
- d. **Composition**  
Unless otherwise authorised by the GSB, the singles Qualifying competition shall be composed as follows:

Total Accepted	Direct Acceptances	Wild Cards
128	119	9
96	88	8
64	56	8

- e. Selection of Qualifying Entries**  
 Direct Acceptances into the Qualifying competition shall be selected from among players with a computer ranking who have entered, in accordance with any advance entry system. The order of selection shall be consistent with the ranking used for any advance entry system. Ties shall also be resolved consistent with the computer ranking system. If a withdrawal occurs after the deadline for the Qualifying Draw the vacancy shall be filled from the on-site Alternate Sign-In list using the order of the original Qualifying Alternate list based on the rankings as of the Qualifying entry deadline.
- f. Byes**  
 In the event there are not enough competitors to fill the Qualifying Draw, then after the seeds are placed in the draw as hereinafter set forth, the required number of byes shall be awarded to the highest seeds as a first priority and any remaining byes shall be drawn by lot in a manner so as to distribute them as evenly as possible throughout the sections of the draw.
- g. Sections and Seeds**  
 The Qualifying Draw shall be made in sections, and the winner of each section shall be given a place in the Main Draw. If four (4) Qualifiers are required, there will be four (4) sections; six (6) Qualifiers, six (6) sections; and so on. The draw shall be seeded and the selection of seeds shall be consistent with the most recent available computer ranking list. There shall be one seed in the top half and one seed in the bottom half of each section. If a seed withdraws from the Qualifying Draw prior to the release of the Order of Play on the day preceding the start of the Qualifying competition, the next eligible seed takes the open spot and the Alternate takes the spot created by the move. After the release of the Order of Play, the open spot vacated by the seed is filled by an Alternate.
- h. Method of Draw**  
 The first seed shall be placed at the top of the first section, the second seed shall be placed at the top of the second section and so on until all sections have one (1) seed on the top line of each section. The remaining seeds shall be drawn as one group. The first drawn shall be placed on the bottom line of the first section, the second drawn shall be placed on the bottom line of the second section and so on until all sections have one (1) seed on the bottom line of each section.

The names of the remaining players shall be drawn and placed in the vacant spaces not occupied by the seeds beginning at the top of the draw.

- i. **Acceptances of Qualifiers into Main Draw**  
Once the Qualifying competition has begun, only those players who ultimately qualify and Lucky Losers may be accepted into the Main Draw.
- j. **Drawing of Qualifiers/Lucky Losers**  
At the conclusion of the Qualifying competition, the name of one Qualifier will be drawn for each qualifying place in the Main Draw. There shall be no prior designation of qualifying sections to qualifying places in the Main Draw. Likewise, when more than one Lucky Loser is to be inserted into the Main Draw, their positions in the Main Draw shall be determined by drawing. Vacancies occurring before the Qualifying is completed to be filled by Lucky Losers shall be drawn in conjunction with those players who qualified.

#### **4. Main Draw Doubles Entries and Withdrawals**

- a. **Entry Deadline**  
For Grand Slam Tournaments the entry deadline will be published in the official entry form, and entries must be received by the Referee on or before this deadline.
- c. **Vacancies**  
A team shall constitute a doubles entry. Therefore, if either of the players of a team cannot play, that doubles entry is subject to default and substitution. However, if a player has to withdraw through injury or other unavoidable circumstances after the entry deadline, but before the draw, his partner may enter again with another player who has not already been accepted into the doubles event, and this new team may be accepted provided it qualifies by virtue of the computer ranking used for selection. With this exception, players may not change partners after the doubles entry deadline. In no case shall any player change partners after the draw has been made unless the draw is remade due to the withdrawal of seeded teams.
- d. **Selection of Entries**  
Entries shall be accepted in the following order:
  - i. Those players who, when teamed together, qualify consistent with the better of their individual singles or doubles ranking dated approximately seven (7) days prior to the commencement of the Grand Slam Tournament. The rankings of the two players of a team shall be added together and these totals will determine who qualifies.

Ties shall be resolved as follows:

1. Team using two (2) singles rankings
2. Team using one (1) singles ranking
3. A team using an Entry Protection/Special Ranking is positioned below the other team(s). If one player on each team is using an Entry Protection/Special Ranking then the ranking of each remaining player determines the order.

For ties between teams with the same composition, priority will be given to the team with the highest individual singles ranking.

For teams using two doubles rankings priority will be given in the men's event to the team with the fewest number of tournaments played and then the team with the highest number of points. In the women's event to the team with the greatest combined total points, thereafter the team with the highest combined ranking from the previous week and then to the team with the fewest combined number of tournaments played over the previous 52-week period.

**e. Substitution of Doubles Teams**

If substitutes are required, Alternates or Lucky Losers shall be selected. The sign-in for doubles Alternates or Lucky Losers shall follow the same principles as the sign-in for singles Lucky Losers. One member of a Lucky Loser team may sign in for the team. If there is no Qualifying competition, substitutions shall come from the Alternate list of teams not accepted directly into the Main Draw and shall follow the acceptance procedures outlined above. In either case, only substitutions of the originally paired teams are permitted.

**5. Doubles Main Draw**

**a. Time**

Each Grand Slam Tournament shall specify the time that the draw is to be made. Once the draw is made there can be no change except for substitution. Doubles Main Draw matches shall not commence until all Doubles Qualifying matches are completed.

**b. Size of Draw**

Unless otherwise approved by the GSB, the draw shall be for 64 teams. Qualifying teams will reduce the number of directly accepted Doubles Main Draw teams.

**c. Wild Cards**

The maximum number of doubles Wild Cards for a 64 team draw shall be seven (7).

A Grand Slam Tournament may not enter players as a Wild Card team without the consent of both players. A Wild Card may be offered to any team that is not a Direct Acceptance on the original entry list after

entries have closed. A Wild Card may be offered to one player on an entered team if it is conditional on his playing with another player. Wild Cards must be named at the time the draw is made.

**d. Seeds**

i. The draw shall be seeded and the number of seeds shall be sixteen (16).

ii. Each Grand Slam Tournament shall be the final authority for the selection and resolution of ties with respect to seeds. Such selection and resolution shall be consistent with the computer ranking list dated approximately seven (7) days prior to the event.

iii. If, prior to the draw being finalised, it is determined that one-fourth ( $\frac{1}{4}$ ) or more of the seeded pairs are unable to play, the entire draw may be remade.

Any vacancy created by the withdrawal of a seeded team prior to the release of the Order of Play for the first day of Main Draw shall be filled as follows:

- If the withdrawal is among seeds 1 to 4, the 5<sup>th</sup> seed is moved into then open position, and the 5<sup>th</sup> position shall be filled by the next highest ranked team eligible to be seeded.
- If the withdrawal is among seeds 5 to 16 it shall be filled by the next highest ranked team eligible to be seeded.

The position vacated by that next highest ranked team shall then be filled by the next team on the original entry list if prior to the commencement of the Qualifying competition or by the eligible Lucky Loser if after the commencement of the Qualifying competition.

Any vacancy created by the withdrawal of a seed which occurs after the release of the Order of Play for the first day of the Main Draw shall be filled by eligible Alternates/Lucky Losers.

iv. Even if the draw is remade, the original entry pairings may not be changed except as provided with respect to vacancies.

**e. Method of Draw**

The placing of seeds and byes and the drawing of the remaining teams shall be in accordance with the same principles used in the singles Main Draw.

**6. Doubles Qualifying Competition**

A doubles Qualifying competition is not mandatory but may be held at the discretion of the Grand Slam Tournament Committee. If a Qualifying competition is held it must be announced at the time the doubles entry list is made available to the players for the doubles main draw. The method of entry

shall be in accordance with the same principles used in the doubles main draw. The composition of the doubles Qualifying shall be at the discretion of the Grand Slam Tournament Committee.



# GRAND SLAM® CODE OF CONDUCT

## ARTICLE I: GENERAL

### A. PURPOSE

The Grand Slam Board (GSB) promulgates this Grand Slam Code of Conduct (Code) in order to maintain fair and reasonable standards of conduct by players and the organisers of Grand Slam Tournaments, and to protect their respective rights, the rights of the public and the integrity of the Sport of Tennis.

### B. APPLICABILITY

This Code is applicable as stated herein to the four Grand Slam Tournaments.

### C. EXCLUSIVITY

This Code is the exclusive basis for disciplinary action against any player at Grand Slam Tournaments who violates its provisions. Players are also subject to the Anti-Corruption and Anti-Doping programmes set forth in Articles X and XI respectively and to the Major Offense reciprocity provision set forth in Article IV B.

### D. UNITED STATES DOLLARS

All monetary fines set forth in the Code are in US Dollars.

## ARTICLE II: PLAYER ENTRY OFFENCES

### A. ENTRY OFFENCES

#### 1. Late Withdrawal Non-Appearance

No player may withdraw his entry from the Main Draw of a Grand Slam Tournament for any reason after the withdrawal deadline, except that players on the Alternate list for the Main Draw may withdraw at any time prior to such time as they are moved into the Main Draw as a Direct Acceptance. A player who has entered the Main Draw or Qualifying and been accepted, and not timely withdrawn, shall appear for play.

Violation of this Section shall be penalised as follows:

<b>Ranking (Entry List)</b>	<b>Fine Amount</b>
1 - 10	\$ 20,000
11 - 25	\$ 10,000
26 - 75	\$ 6,000
76 - 100	\$ 4,000
100 - 150	\$ 2,000
151 +	\$ 1,000

In circumstances that are flagrant and particularly injurious to the success of the Grand Slam Tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of “Aggravated Behaviour”.

**2. Playing Another Event**

No player who has entered and been accepted into the Main Draw of a Grand Slam Tournament shall play in any other tennis event during the period of such tournament unless authorised by the GSB. Violation of this Section shall result in a fine of up to \$20,000 in addition to any other fines provided in the Code. In circumstances that are flagrant and particularly injurious to the success of the tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of “Aggravated Behaviour”. In any case, two violations within the same calendar year shall also constitute the Major Offence of “Aggravated Behaviour”.

**B. DETERMINATION AND PENALTY**

The Director, GSB shall make such investigation as is reasonable to determine the facts regarding all Entry Offences and upon determining that a violation has occurred shall specify the fine and/or other punishment therefore and mail written notice thereafter to the player.

**C. PAYMENT OF FINES**

All fines levied by the Director, GSB shall be paid by the player to the GSB by delivery to the Director, GSB within ten (10) days after the notice thereof is provided.

**D. REMISSION OF FINES**

A player who has been fined for Late Withdrawal or Non-Appearance as above provided may apply to the Director, GSB for remission of such fine. The Director, GSB may remit the “first” Late Withdrawal or Non-Appearance fine in each year only in the event that he is satisfied in his sole judgement and discretion that the reasons for such Late Withdrawal or Non-Appearance were genuine, not foreseeable at the time of entry, justified and unavoidable and that the player has conducted himself in a professional manner with respect thereto. In order to petition for remission of this “first” Late Withdrawal or Non-Appearance fine, the player must:

1. Have notified the Director, GSB and the Tournament Director as soon as reasonably possible after the onset or occurrence of the basis for such Late Withdrawal or Non-Appearance.
2. File with the Director, GSB on or before ten (10) days after the first day of the tournament a written statement explaining in detail the reasons for such Late Withdrawal or Non-Appearance, along with any other evidence that the player deems important to such petition.

3. If the reason for such late Withdrawal or Non-Appearance is related to any injury or illness, furnish to the Director, GSB within ten (10) days after the first day of the tournament the details thereof, certified to by a qualified physician and legibly set forth in English, French, German or Spanish on the Grand Slam Medical Certification Form. Such excuse will be good for consecutive Grand Slam Tournaments missed for the same reasons.
4. Provided, however, if a player is injured at a tournament site after 1800 GMT on the Friday before that tournament, then, if he is examined by the tournament doctor and the Referee and diagnosed in writing as being medically unfit for singles and doubles play, such fine will be remitted. Thereafter each player withdrawing late from or not appearing at the next Grand Slam Tournament for any reason will be fined as provided above.

#### **E. DISCRETIONARY REVIEW**

Any player found to have committed an Entry Offence may, after paying all fines as above provided, petition the designated Chairman of the GSB for discretionary review by the GSB of the determination and penalty of the Director, GSB. The petition shall be in writing and must be filed with the Director, GSB within thirty (30) days after notice of the violation is mailed to the player. The Director, GSB shall thereafter promptly forward said petition to the Chairman. Said petition shall state in detail the basis for such appeal. Within thirty (30) days thereafter the Chairman shall review such petition and shall determine in his sole discretion whether or not the GSB shall hear the appeal. If the Chairman decides that the GSB should not hear the appeal, then the decision of the Director, GSB shall upon notice thereof become final. If the Chairman decides that the GSB should hear the appeal, then he shall designate a time and place for the hearing of such appeal and shall so notify the player and the Director, GSB. At such hearing the player and the Director, GSB shall present to the GSB the relevant evidence on the matter. The GSB may affirm, reverse, or modify the decision of the Director, GSB on appeal. If the appeal is decided against the player, then the GSB shall tax the reasonable costs of the appeal against the player, which costs shall include, but not be limited to, the reasonable travel and living expenses incurred by all witnesses and members of the GSB with respect thereto, if the same is not at a regularly scheduled meeting of the GSB.

### **ARTICLE III: PLAYER ON-SITE OFFENCES**

#### **A. GENERAL**

Every player shall, during all matches and at all times while within the precincts of the site of a Grand Slam Tournament, conduct himself in a professional manner. The

provisions hereinafter set forth shall apply to each player's conduct while within the precincts of each such site.

## **B. PUNCTUALITY**

Matches shall follow each other without delay in accordance with the announced order of play. The order of play shall be posted at a highly visible place in a general players' area as designated by the Referee.

The Referee shall designate an Official Clock for the event. Matches shall be called in accordance with the order of play using all available and reasonable means. Players shall be ready to play when their matches are called.

1. Any player not ready to walk-on court when their match is called may be fined up to \$ 10,000 .
2. Any player not ready to play within fifteen (15) minutes after his match is called may be fined up to an additional \$ 10,000 and shall be defaulted unless the Referee in his sole discretion, after consideration of all relevant circumstances, elects not to declare a default.

## **C. DRESS AND EQUIPMENT**

Every player shall dress and present himself for play in a professional manner. Clean and customarily acceptable tennis attire shall be worn as determined by each respective Grand Slam Tournament. Any exceptions to this standard must be submitted by manufacturers to the Director, Grand Slam Board, prior to a Grand Slam Tournament, for approval.

### **1. Unacceptable Attire**

Sweatshirts, gym shorts, dress shirts, T-shirts or any other inappropriate attire shall not be worn during a match (including the warm-up).

#### **a. Shoes**

Players are required to wear tennis shoes generally accepted as proper tennis attire. Shoes shall not cause damage to the court other than what is expected during the normal course of a match or practice. Damage to a court may be considered as physical or visible, which may include a shoe that leaves marks beyond what it considered acceptable. The Referee has the authority to determine that a shoe does not meet these criteria and may order the player to change.

##### **i. Grass Court Shoes**

At Wimbledon no grass courts shoes other than those with rubber soles, without heels, ribs, studs or coverings, shall be worn by players.

Special grass court shoes will not be used without the express approval of the GSB; such shoes will not be approved unless they comply with the following specifications:

1. The pimples or studs on the base of the sole should be vertical from the outsole and shall have maximum top diameter of three (3) millimetres and a minimum top diameter of two (2) millimetres. The maximum height of the pimples or studs shall be two (2) millimetres, from the base of the shoe. The hardness of any pimple shall be between 55 and 60 based on a shore “A” scale. The number of pimples per square inch shall be no less than 15 and no more than 28.
2. No pimples or studs may be visible when the shoe is placed on a flat horizontal surface and viewed vertically. The foxing/sidewall around the toes must be smooth and may only be contoured in the medial forefoot and medial toe area but only within the following restrictions. The contoured area may begin in the transition area between outsole and sidewall but can only extend to a maximum of 1.5 centimetre up the sidewall as measured from the base of the outsole. The contoured area must be smooth (not textured or undulating) but can be stepped with no more than five (5) steps each no more than one (1) millimeter in depth.
3. Forefoot and heel areas may be separated but there should be no more than a two (2) millimetres step in the outsole of the shoe.
4. No manufacturer’s identification is to be used on the base of the outsole.

See Appendix B for example specification sheet.

Players desiring approval of special grass court shoes should submit a sample shoe to the GSB at least ninety (90) days in advance of Wimbledon.

ii. Clay Court Shoes

Players are required to wear tennis shoes generally accepted for play on clay courts. The Referee has the authority to determine that a tennis shoe’s sole does not conform to such customs and standards and can prohibit its use at Roland Garros.

Grass court shoes shall not be worn during a match at Roland Garros.

b. White Clothing and Footwear

Including the warm-up period, players at Wimbledon must be dressed almost entirely in white.

## 2. Identification

No identification shall be permitted on a player or on a player's clothing, products or equipment on court before, during and after a match or at any press conference or tournament ceremony, except as follows below. The GSB and Referee reserve the right to interpret the following rules so as to give effect to the intent and purposes of these Grand Slam Rules.

### a. Shirt, Sweater or Jacket

- i. Sleeves. One (1) commercial (non-manufacturer's) identification for each sleeve, neither of which exceeds three (3) square inches (19.5 sq.cm), plus one (1) manufacturer's identification on each sleeve neither of which exceeds eight (8) square inches (52 sq.cm) shall be permitted. If written identification is used within this eight (8) square inches (52 sq.cm) area on either or both sleeves, such written identification may not exceed four (4) square inches (26 sq.cm) per sleeve.
- ii. Sleeveless: The two (2) commercial (non manufacturer) identifications permitted on the sleeves above, neither of which shall exceed three (3) square inches (19.5 sq.cm), may be placed on the front of the garment.
- iii. Front, Back and Collar. Total of two (2) manufacturer's identifications, neither of which exceeds two (2) square inches (13 sq.cm) or one manufacturer's identification, which does not exceed four (4) square inches (26 sq.cm) shall be permitted.

### b. Shorts/Skirts

Two (2) manufacturer's identifications, neither of which exceeds two (2) square inches (13 sq.cm) or one manufacturer's identification which does not exceed four (4) square inches (26 sq.cm) shall be permitted. On compression shorts, one (1) manufacturer's identification not to exceed two (2) square inches (13 sq.cm) and which shall be in addition to the manufacturer's identifications on shorts shall be permitted.

*Note:* A dress for the purposes of permissible identification shall be treated as a combination of a skirt and a shirt (dividing dress at waist).

### c. Socks/Shoes

Manufacturer's identifications on each sock and on each shoe shall be permitted. The identifications on the sock(s) on each foot shall be limited to a maximum of two (2) square inches (13 sq.cm).

### d. Racquet

Manufacturer's identifications on racquet and strings shall be permitted.

- e. **Hat, Headband or Wristband**  
One (1) manufacturer's identification, which may include writing as part of the identification, not to exceed two (2) square inches (13 sq.cm) shall be permitted.
- f. **Tennis Equipment Manufacturer's Bag, Other Equipment or Paraphernalia**  
Tennis equipment manufacturer's identifications on each item plus two (2) separate commercial identifications on one (1) tennis equipment manufacturer's bag, neither of which commercial identifications exceeds four (4) square inches (26 sq.cm), shall be permitted.
- g. **Drink Containers**  
Each Grand Slam Tournament will provide drink containers for all participants to use. No other drink containers will be allowed unless approved by the Referee.
- h. **Sport and Day Chairs (Wheelchair Events)**  
Standard manufacturer's identifications on the tyres plus a maximum of two (2) manufacturer's identifications, neither of which exceeds three (3) square inches (19.5 sq.cm), on each wheel and four (4) manufacturer's identifications, neither of which exceeds 3 square inches (19.5 sq.cm), on the back of the wheelchair plus two commercial identifications on one (1) chair, neither of which exceeds three (3) square inches (19.5 sq.cm) shall be permitted.
- i. **Another Tennis, Sport or Entertainment Event**  
Notwithstanding anything to the contrary hereinabove set forth the identification by use of the name, emblem, logo, trademark, symbol or other description of any tennis circuit, series of tennis events, tennis exhibition, tennis tournament, any other sport or entertainment event is prohibited on all dress or equipment, unless otherwise approved by the GSB.
- j. **General**  
In the event the utilisation of any of the foregoing permitted commercial identifications would violate any governmental regulation with respect to television, then the same shall be prohibited.

For the purposes of this Rule, the manufacturer means the manufacturer of the clothing or equipment in question.

In addition, the size limitation shall be ascertained by determining the area of the actual patch or other addition to a player's clothing without regard to the colour of the same. In determining area, depending on the shape of the patch or other addition, a circle, triangle or rectangle shall be drawn around the same and the size of the patch for the purpose of

this Rule shall be the area within the circumference of the circle or the perimeter of the triangle or rectangle as the case may be.

**3. Warm-up Clothing**

Players may wear warm-up clothing during the warm-up and during a match provided it complies with the foregoing provisions and provided further that the players obtain approval of the Referee prior to wearing warm-up clothing during a match.

**4. Change/Taping**

Any player who violates this Section may be ordered by the Chair Umpire, Grand Slam Supervisor or Referee to change his attire or equipment immediately. No taping over of such attire shall be allowed. Failure of a player to comply with such order may result in immediate default.

**5. Fines**

Any player who violates this Section and is not defaulted shall be subject to the following fines:

- a. Unacceptable Attire  
Violation of the provisions with respect to Unacceptable Attire shall result in a fine of up to \$20,000.
- b. Manufacturer's Identification  
Violation of the provisions with respect to manufacturer's identifications shall result in a fine of up to \$20,000.
- c. Commercial Identification  
Violation of the provisions with respect to commercial identifications shall result in a fine of up to \$20,000.
- d. Another Tennis Event  
Violation of the provisions with respect to the identification of Another Tennis Event shall result in a fine of up to \$20,000.

**D. LEAVING THE COURT**

A player shall not leave the court area during a match (including the warm-up) without the permission of the Chair Umpire or Referee. Violation of this Section shall subject a player to a fine up to \$20,000 for each violation.

In addition the player may be defaulted and subjected to the additional penalties for Failure to Complete Match as hereinafter set forth.

**E. BEST EFFORTS**

A player shall use his best efforts to win a match when competing in a Grand Slam Tournament. Violation of this section shall subject a player to a fine up to \$20,000



for each violation. For purposes of this Rule, the Referee and/or the Chair Umpire shall have the authority to penalise a player in accordance with the Point Penalty Schedule.

In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of “Aggravated Behaviour” and shall be subject to the additional penalties hereinafter set forth therefor.

#### **F. FAILURE TO COMPLETE MATCH**

A player must complete a match in progress unless he is reasonably unable to do so. Violation of this Section shall subject a player to a fine up to \$20,000.

In addition a violation of this Section shall subject a player to immediate default and shall also constitute the Major Offence of “Aggravated Behaviour” and shall be subject to the additional penalties hereinafter set forth therefor.

#### **G. MEDIA CONFERENCE**

Unless injured and physically unable to appear, a player or team must attend the postmatch media conference(s) organised immediately or within thirty (30) minutes after the conclusion of each match, including walkovers, whether the player or team was the winner or loser, unless such time is extended or otherwise modified by the Referee for good cause. In addition, all Main Draw players must participate, if requested, in a pre-event press conference to be arranged during the two days before the start of the Main Draw. All media obligations include, but are not limited to, interviews with the host and player’s national broadcaster.

Violation of this Section shall subject a player to a fine up to \$20,000.

#### **H. CEREMONIES**

A player participating in the finals of a Grand Slam Tournament must attend and participate in the final ceremonies after the match unless he is reasonably unable to do so. Violation of this Section shall subject a player to a fine up to \$20,000.

#### **I. TIME VIOLATION / DELAY OF GAME**

Following the expiration of the warm-up period play shall be continuous and a player shall not unreasonably delay a match for any cause.

A maximum of twenty (20) seconds shall elapse from the moment the ball goes out of play at the end of the point until the time the ball is struck for the first serve of the next point. If such serve is a fault then the second serve must be struck by the server without delay.

When changing ends a maximum of ninety (90) seconds shall elapse from the moment the ball goes out of play at the end of the game until the time the first serve is struck for the next game. If such first serve is a fault the second serve must be

struck by the server without delay. However, after the first game of each set and during a tie-break, play shall be continuous and the players shall change ends without a rest period.

At the conclusion of each set, regardless of the score, there shall be a set break of one hundred and twenty (120) seconds from the moment the ball goes out of play at the end of the set until the time the first serve is struck for the next set.

If a set ends after an even number of games, there shall be no change of ends until after the first game of the next set.

The receiver shall play to the reasonable pace of the server and shall be ready to receive within a reasonable time of the server being ready. A Time Violation may be issued prior to the expiration of twenty (20) seconds if the receiver's actions are delaying the reasonable pace of the server.

The first violation of this Section, as either server or receiver, shall be penalised by a Time Violation warning and each subsequent violation, as either server or receiver shall be penalised as follows :

- Server           The Time Violation shall result in a “fault”
- Receiver        The Time Violation shall result in a “point penalty”

When a violation is a result of a medical condition, refusal to play or not returning to the court within the allowed time a Code Violation (Delay of Game) penalty shall be assessed in accordance with the Point Penalty Schedule.

## **J.     AUDIBLE OBSCENITY**

Players shall not use audible obscenity within the precinct of the tournament site. Violation of this Section shall subject a player to a fine up to \$20,000 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule hereinafter set forth. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of “Aggravated Behaviour” and shall be subject to the additional penalties hereinafter set forth therefor.

For the purposes of this Rule, audible obscenity is defined as the use of words commonly known and understood to be profane and uttered clearly and loudly enough to be heard by the Chair Umpire, spectators, Line Umpires or ballpersons.

## **K.     COACHING AND COACHES**

Players shall not receive coaching during a match (including the warm-up). Communications of any kind, audible or visible, between a player and a coach may be construed as coaching. Players shall also prohibit their coaches (1) from using audible obscenity within the precincts of the tournament site, (2) from making obscene gestures of any kind within the precincts of the tournament site, (3) from

verbally abusing any official, opponent, spectator or other person within the precincts of the tournament site, (4) from physically abusing any official, opponent, spectator or other person within the precincts of the tournament site and (5) from giving, making, issuing, authorising or endorsing any public statement within the precincts of the tournament site having, or designed to have, an effect prejudicial or detrimental to the best interests of the tournament and/or of the officiating thereof.

Violation of this Section shall subject a player to a fine up to \$20,000 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule hereinafter set forth. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, the Referee may order the Coach to be removed from the site of a match or the precincts of the tournament site and upon his failure to comply with such order may declare an immediate default of such player.

#### **L. VISIBLE OBSCENITY**

Players shall not make obscene gestures of any kind within the precincts of the tournament site.

Violation of this Section shall subject a player to a fine up to \$20,000 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule hereinafter set forth. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of “Aggravated Behaviour” and shall be subject to the additional penalties hereinafter set forth therefor.

For the purposes of this Rule, visible obscenity is defined as the making of signs by a player with his hands and/or racquet or balls that commonly have an obscene meaning.

#### **M. ABUSE OF BALLS**

Players shall not violently, dangerously or with anger hit, kick or throw a tennis ball within the precincts of the tournament site except in the reasonable pursuit of a point during a match (including warm-up).

Violation of this Section shall subject a player to fine up to \$20,000 for each violation. In addition, if such violation occurs during a match (including the warm-up) the player shall be penalised in accordance with the Point Penalty Schedule hereinafter set forth.

For the purposes of this Rule, abuse of balls is defined as intentionally hitting a ball out of the enclosure of the court, hitting a ball dangerously or recklessly within the court or hitting a ball with negligent disregard of the consequences.

#### **N. ABUSE OF RACQUETS OR EQUIPMENT**

Players shall not violently or with anger hit, kick or throw a racquet or other equipment within the precincts of the tournament site.

Violation of this Section shall subject a player to a fine up to \$20,000 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule hereinafter set forth.

For the purposes of this Rule, abuse of racquets or equipment is defined as intentionally and violently destroying or damaging racquets or equipment or intentionally and violently hitting the net, court, umpire's chair or other fixture during a match out of anger or frustration.

#### **O. VERBAL ABUSE**

Players shall not at any time directly or indirectly verbally abuse any official, opponent, sponsor, spectator or other person within the precincts of the tournament site.

Violation of this section shall subject a player to a fine up to \$20,000 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule hereinafter set forth. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour" and shall be subject to the additional penalties hereinafter set forth therefor.

For the purposes of this Rule, verbal abuse is defined as a statement about an official, opponent, sponsor, spectator or other person that implies dishonesty or is derogatory, insulting or otherwise abusive.

#### **P. PHYSICAL ABUSE**

Players shall not at any time physically abuse any official, opponent, spectator or other person within the precincts of the tournament site.

Violation of this Section shall subject a player to a fine up to \$20,000 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule hereinafter set forth. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour" and shall be subject to the additional penalties hereinafter set forth therefor.

For the purposes of this Rule, physical abuse is the unauthorised touching of an official, opponent, spectator or other person.

#### **Q. UNSPORTSMANLIKE CONDUCT**

Players shall at all times conduct themselves in a sportsmanlike manner and give due regard to the authority of officials and the rights of opponents, spectators and others.

Violation of this Section shall subject a player to a fine up to \$20,000 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule hereinafter set forth. In circumstances that are flagrant and particularly injurious to

the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of “Aggravated Behaviour” and shall be subject to the additional penalties hereinafter set forth therefore.

For the purposes of this Rule, Unsportsmanlike Conduct is defined as any misconduct by a player that is clearly abusive or detrimental to the Sport, but that does not fall within the prohibition of any specific on-site offence contained herein. In addition, unsportsmanlike conduct shall include, but not be limited to, the giving, making, issuing, authorising or endorsing any public statement having, or designed to have, an effect prejudicial or detrimental to the best interests of the tournament and/or the officiating thereof.

## **R. POINT PENALTY SCHEDULE**

The Point Penalty Schedule to be used for violations set forth above is as follows:

FIRST offence	WARNING
SECOND offence	POINT PENALTY
THIRD AND EACH SUBSEQUENT offence	GAME PENALTY

However, after the third Code Violation, the Referee in consultation with the Grand Slam Chief of Supervisors shall determine whether each subsequent offence shall constitute a default.

## **S. DEFAULTS**

The Referee in consultation with the Grand Slam Chief of Supervisors may declare a default for either a single violation of this Code or pursuant to the Point Penalty Schedule set out above.

In all cases of default, the decision of the Referee in consultation with the Grand Slam Chief of Supervisors shall be final and unappealable.

Any player who is defaulted as herein provided shall lose all ranking points earned for that event at that tournament and may be fined up to the prize money won at the tournament in addition to any or all other fines levied with respect to the offending incident. In addition, any player who is defaulted as herein provided may be defaulted from all other events, if any, in that tournament, except when the offending incident involves only a violation of the Punctuality or Dress and Equipment provisions set forth in Article III. B and C, or as a result of a medical condition or when his doubles partner commits the Code Violation which causes the default.

## **T. DOUBLES EVENTS**

### **1. Warnings/Point Penalties/Game Penalties/Defaults**

Warnings, point penalties, game Penalties and/or a default if assessed for violation of the Code shall be assessed against the team.

**2. Fines**

Fines for violation of Article III of the Code shall be assessed only against the individual member of the team who is in violation unless both members of the team are in violation.

**U. DETERMINATION AND PENALTY**

The Referee in consultation with the Grand Slam Chief of Supervisors shall make such investigation as is reasonable to determine the facts regarding all Player On-Site Offences and upon determining that a violation has occurred shall specify the fine and/or other punishment therefor and give written notice thereof to the player. Notwithstanding anything contained herein to the contrary, the Referee may, at his discretion, limit the fines levied during any tournament Qualifying competitions to a maximum of \$2,000 for each violation.

**V. PAYMENT OF FINES**

Each Grand Slam Tournament shall deduct such fines from the player's prize-money, if any, and promptly pay the same to the GSB. In the event that the player's prize-money is insufficient to pay such fine, the player shall pay the balance thereof within twenty (20) days after the tournament to the GSB.

**W. APPEALS**

Any player convicted of a violation of a Player On-Site Offence may, after paying all fines, appeal to the Director, GSB for review of the determination of guilt and penalty therefor. Such Notice of Appeal shall be in writing and filed by 5.00 p.m. G.M.T. with the Director, GSB within ten (10) days after the last day of the event. Attached to and included with such Notice of Appeal shall be a statement by the player as to the facts and circumstances of such incident along with any other evidence that the player desires to submit.

Upon receipt of such an Appeal, the Director, GSB shall conduct a reasonable investigation of the facts and circumstances surrounding such incident, and shall affirm or reverse in whole or in part the determination of the Referee. In the event of a whole or partial reversal the Director, GSB shall remit to the player all or part of the fines collected in accordance with the disposition of the appeal.

**ARTICLE IV: PLAYER MAJOR OFFENCES**

**A. AGGRAVATED BEHAVIOUR**

No player or Related Person at any Grand Slam Tournament shall engage in "Aggravated Behaviour" which is defined as follows:

1. One or more incidents of behaviour designated in this Code as constituting “Aggravated Behaviour”.
2. One incident of behaviour that is flagrant and particularly injurious to the success of a Grand Slam Tournament, or is singularly egregious.
3. A series of two (2) or more violations of this Code within a twelve (12) month period which singularly do not constitute “Aggravated Behaviour”, but when viewed together establish a pattern of conduct that is collectively egregious and is detrimental or injurious to the Grand Slam Tournaments.

In addition, any Player or Related Person who, directly or indirectly, offers or provides or receives any money, benefit or consideration to or from any other Covered Person or third party in exchange for access and/or accreditation to the tournament site shall be deemed to have engaged in Aggravated Behaviour and be in violation of this Section.

Violation of this Section by a player, directly or indirectly through a Related Person or others, shall subject a player to a fine of up to \$250,000 or the amount of prize money won at the tournament, whichever is greater, and a maximum penalty of permanent suspension from play in all Grand Slam Tournaments.

Violation of this Section shall subject a Related Person to a maximum penalty of permanent revocation of accreditation and denial of access to all Grand Slam Tournaments.

## **B. CONDUCT CONTRARY TO THE INTEGRITY OF THE GAME**

No player or Related Person shall engage in conduct contrary to the integrity of the game of tennis. If a player is convicted of the violation of a criminal or civil law of any country, he may be deemed by virtue of such conviction to have engaged in conduct contrary to the integrity of the Game of Tennis and the Director, GSB may provisionally suspend such player from further participation in Grand Slam Tournaments pending a final Determination in Section C. In addition, if a player has at any time behaved in a manner severely damaging to the reputation of the Sport, including but not limited to the commission of a Major Offense or its equivalent under the relevant Codes of Conduct for ATP, WTA and/or ITF, he may be deemed by virtue of such behaviour to have engaged in conduct contrary to the integrity of the Game of Tennis and the Director, GSB, may also provisionally suspend such player from further participation in Grand Slam Tournaments pending a Final Determination in Section C. Violation of this Section by a player, directly or indirectly through a Related Person or others, shall subject a player to a fine up to \$250,000 and/or to a maximum penalty of permanent suspension from play in all Grand Slam Tournaments.

Violation of this Section shall subject a Related Person to a maximum penalty of permanent revocation of accreditation and denial of access to all Grand Slam Tournaments.

### **C. DETERMINATION AND PENALTY**

The Director, GSB shall cause an investigation to be made of all facts concerning any alleged Major Offence and shall provide written notice of such investigation to the player involved; the player shall be given at least five (5) business days to provide to the Director, GSB directly or through counsel, such evidence as the player deems to be relevant to the investigation. Upon the completion of his investigation the Director, GSB shall determine the innocence or guilt of the player involved, and, in the latter case, shall state in writing the facts as found by him, his conclusions and his decision fixing the penalty to be imposed. A copy of the decision shall be promptly delivered to the player and to the GSB.

### **D. PAYMENT OF FINES**

All fines levied by the Director, GSB for Player Major Offences shall be paid by the player to the GSB by delivery to the Director, GSB within thirty (30) days after receipt of written notice thereof. If the fine is not paid in thirty (30) days the Director, GSB will instruct the next Grand Slam Tournament to withhold prize money due to the player until settlement is made.

### **E. APPEAL**

Any player determined to be guilty of a Player Major Offence by the Director, GSB, after paying all fines, may appeal such determination to the GSB for review by written Notice of Appeal filed with the Director, GSB within five (5) business days after receiving notice of the Director, GSB's determination.

### **F. HEARING ON APPEAL**

After the Notice of Appeal is filed, the GSB shall set a date, time and place for the Hearing on Appeal and shall so notify the player and the Director, GSB, provided that such date be as soon as reasonably possible after the Director, GSB's Determination and no later than thirty (30) days thereafter. The Hearing on Appeal shall be conducted in accordance with the following:

#### **1. Burden of Proof**

The burden of proof shall be on the Director, GSB to prove a violation by a preponderance of the evidence.

#### **2. Rules**

The GSB shall not be bound by any particular rules governing procedure or the admissibility of evidence provided that the Hearing is conducted in a fair and orderly manner with full opportunity to each side to present its case.

#### **3. Presentation**

The Director, GSB and the player may present their respective cases personally and/or through counsel.



**4. Statement of Position**

The GSB shall request the Director, GSB and the player to state in writing their respective positions on the facts, the provision of the Code allegedly violated and the penalty specified and file the same at least ten (10) days prior to the Hearing and with a copy to each other.

**5. Evidence**

Each side shall have the right to present witnesses, documentary evidence, and testimony by affidavit or deposition. Documentary evidence and affidavits shall not be admissible unless a copy thereof is submitted at least ten (10) days prior to the Hearing to the GSB and to the other party. Should objection be made to the introduction of an affidavit, the GSB may determine at its or their discretion that the interests of fairness require that the affiant be produced to testify at the Hearing, or, alternatively, that such affidavit be excluded. In the case of the former, a reasonable continuance must be granted for production of such witness.

**6. Hearing**

The Hearing shall be closed to the public unless both parties agree otherwise.

**7. Record**

The Hearing shall not ordinarily be transcribed, but each side shall have the right to have it recorded or transcribed at their sole expense.

**8. Interpreter-Legal Advisor**

The GSB shall, if required in the interest of fairness, make provisions for the presence of an interpreter and/or legal advisor for the Hearing. The reasonable expenses of such interpreter or legal advisor shall be assumed by the GSB.

**G. DECISION ON APPEAL**

As soon as practical the GSB shall by written opinion issued after the conclusion of the Hearing on Appeal reverse or affirm in whole or in part the decision of the Director, GSB. Said written opinion shall set forth the facts as found by the GSB and the reasons in support of its determination. In addition, the GSB shall tax to the losing party all reasonable costs of the Appeal, including, but not limited to, the expenses and charges of the interpreter, legal advisor and any adverse witness required upon objection to testify concerning facts originally presented by way of affidavit. If the costs are taxed against a player as hereinabove provided, the same must be paid by the player to the GSB by delivery to the Director, GSB within ten (10) days after receipt of the decision of the GSB.

## **ARTICLE V: DISTRIBUTION OF FINES**

All final fine amounts not subject to appeal or remission received by the Grand Slam Tournaments in connection with offences occurring under this Grand Slam Code of Conduct shall be remitted to the Grand Slam Development Fund to assist and finance the further development of tennis as an international sport.

## **ARTICLE VI: SUSPENSIONS AND COLLECTION OF FINES**

### **A. APPLICABILITY**

This Article shall be applicable to all provisions of the Code relating to suspensions and fines.

### **B. CALENDAR YEARS**

Whenever any suspension is involved as a penalty for a violation of this Code, such suspension may if necessary be specified to cover all or parts of more than one calendar year; provided, however, that this provision shall not be construed to enlarge the term of any suspension as specified in the applicable provision for an offence under this Code.

### **C. STAY OF SUSPENSIONS PENDING APPEALS**

Whenever suspension of a player is decided upon by the Director, GSB, and an appeal either of right or discretionary review is perfected by the party aggrieved, then such suspension shall be stayed pending the resolution of such appeal.

### **D. SUSPENSION FOR NON-PAYMENT OF FINES**

If, subsequent to the imposition of a fine, the same is not timely paid as hereinabove provided, the Director, GSB may suspend, pending payment, the party fined from further participation in further Grand Slam Tournaments. In addition, the Director, GSB is authorised to obtain collection of all overdue fines along with costs, if any, by all reasonable means, including deduction thereof from any subsequent winnings or amateur expenses in the same or succeeding year, or through the institution of legal proceedings as may be deemed necessary and appropriate.

## **ARTICLE VII: INTERPRETATIONS**

Any person or entity subject to this Code may file with the Director, GSB a request for an interpretation or clarification of the Code and/or its applicability and effect on a particular event or transaction. Upon receipt of such request the Director, GSB shall place the same on the agenda for consideration at the next meeting of the GSB.

If a decision is necessary for the interim, the Director, GSB may render a decision upon which the requesting party may rely pending final disposition by the GSB.

### **ARTICLE VIII: NOTICE**

All written communications to the GSB or the Director, GSB should be addressed as follows, unless notice of change is subsequently published:

Mr. William Babcock  
Director, Grand Slam Board  
Bank Lane  
Roehampton  
London SW15 5XZ  
United Kingdom  
Tel: +44 20 8392 4680 Fax: +44 20 8392 4675  
Email: Bill.Babcock@grandslamtennis.com

Notice that a player is being investigated pursuant to a possible Major Offence charge shall be served personally upon him. Service of any other document required by the Code shall be deemed complete if mailed to the subject player at his home address or other address designated by the player, along with a copy to any player association of which he is a member provided that the Director, GSB has notice of such membership.

### **ARTICLE IX: AMENDMENTS**

The Code may be amended only by the unanimous vote of the members of the GSB at a regular or special meeting.

### **ARTICLE X: TENNIS ANTI-CORRUPTION PROGRAM**

#### **A. Introduction**

The purpose of the Tennis Anti-Corruption Program is to (i) maintain the integrity of tennis, (ii) protect against any efforts to impact improperly the results of any match and (iii) establish a uniform rule and consistent scheme of enforcement and sanctions applicable to all professional tennis Events and to all Governing Bodies.

#### **B. Definitions**

1. "AHO" refers to an Anti-Corruption Hearing Officer.
2. "ATP" refers to the ATP Tour, Inc.
3. "CAS" refers to the Court of Arbitration for Sport.

4. “Consideration” refers to anything of value except for money.
5. “Corruption Offense” refers to any offense described in Section D or E of this Program.
6. “Covered Person” refers to any Player, Related Person, or Tournament Support Personnel.
7. “Decision” refers to a decision of an AHO regarding the commission of a Corruption Offense.
8. “Demand” refers to a written demand for information issued by the TIU to any Covered Person.
9. “Director” refers to the Director of the TIU.
10. ‘Event’ refers to those professional tennis matches and other tennis competitions identified in Appendix 1 (See p.68)
11. "Governing Bodies" refers to the ATP, the ITF, the WTA and the GSB.
12. “GSB” refers to the Grand Slam Board.
13. “Hearing” refers to a hearing before an AHO in accordance with Section G of this Program.
14. “Information in the public domain” refers to information which has been published or is a matter of public record or can be readily acquired by an interested member of the public and/or information which has been disclosed according to the rules or regulations governing a particular event.
15. “Inside Information” refers to information about the likely participation or likely performance of a Player in an Event or concerning the weather, court conditions, status, outcome or any other aspect of an Event which is known by a Covered Person and is not information in the public domain.
16. “ITF” refers to the International Tennis Federation.
17. “Notice” refers to written Notice sent by the PTIO to a Covered Person alleged to have committed a Corruption Offense.
18. “Player” refers to any player who enters or participates in any Event.
19. “Program” refers to this Tennis Anti-Corruption Program.

20. “Provisional Suspension” refers to a period of ineligibility imposed by an AHO before a full hearing has taken place.
21. “PTIO” refers to the Professional Tennis Integrity Officer appointed by each Governing Body.
22. “Related Person” refers to any coach, trainer, therapist, physician, management representative, agent, family member, tournament guest, business associate or other affiliate or associate of any Player, or any other person who receives accreditation at an Event at the request of the Player or any other Related Person.
23. “Substantial Assistance” refers to assistance given by a Covered Person to the PTIO or TIU that results in the discovery or establishing of a corruption offense by another Covered Person.
24. “TIB” refers to the Tennis Integrity Board.
25. “TIU” refers to the Tennis Integrity Unit.
26. “Tournament Support Personnel” refers to any tournament director, officials, owner, operator, employee, agent, contractor or any similarly situated person and ATP, ITF and WTA staff providing services at any Event and any other person who receives accreditation at an Event at the request of Tournament Support Personnel.
27. “Wager” refers to a wager of money or Consideration or any other form of financial speculation.
28. “WTA” refers to the WTA Tour, Inc.

### **C. Covered Players, Persons and Events**

1. All Players, Related Persons, and Tournament Support Personnel shall be bound by and shall comply with all of the provisions of this Program and shall be deemed to accept all terms set out herein as well as the Tennis Integrity Unit Privacy Policy which can be found at [www.tennisintegrityunit.com](http://www.tennisintegrityunit.com).
2. It is the responsibility of each Player, Related Person and Tournament Support Personnel to acquaint himself or herself with all of the provisions of this Program. Further, each Player shall have a duty to inform Related Persons with whom they are connected of all of the provisions of this Program and shall instruct Related Persons to comply with the Program.

## **D. Offenses**

Commission of any offense set forth in Section D or E of this Program including a violation of the Reporting Obligations or any other violation of the provisions of this Program shall constitute a Corruption Offense for all purposes of this Program.

### **1. Corruption Offenses.**

- a.** No Covered Person shall, directly or indirectly, wager or attempt to wager on the outcome or any other aspect of any Event or any other tennis competition.
- b.** No Covered Person shall, directly or indirectly, solicit or facilitate any other person to wager on the outcome or any other aspect of any Event or any other tennis competition. For the avoidance of doubt, to solicit or facilitate to wager shall include, but not be limited to: display of live tennis betting odds on a Covered Person website; writing articles for a tennis betting publication or website; conducting personal appearances for a tennis betting company; and appearing in commercials encouraging others to bet on tennis.
- c.** No Covered Person shall, directly or indirectly, solicit or accept any money, benefit or Consideration for the provision of an accreditation to an Event (i) for the purpose of facilitating a commission of a Corruption Offense; or (ii) which leads, directly or indirectly, to the commission of a Corruption Offense.
- d.** No Covered Person shall, directly or indirectly, contrive or attempt to contrive the outcome or any other aspect of any Event.
- e.** No Covered Person shall, directly or indirectly, solicit or facilitate any Player to not use his or her best efforts in any Event.
- f.** No Covered Person shall, directly or indirectly, solicit or accept any money, benefit or Consideration with the intention of negatively influencing a Player's best efforts in any Event.
- g.** No Covered Person shall, directly or indirectly, offer or provide any money, benefit or Consideration to any other Covered Person with the intention of negatively influencing a Player's best efforts in any Event.

- h.** No Covered Person shall, directly or indirectly, solicit or accept any money, benefit or Consideration, for the provision of any Inside Information.
- i.** No Covered Person shall, directly or indirectly, offer or provide any money, benefit or Consideration to any other Covered Person for the provision of any Inside Information.
- j.** No Covered Person shall, directly or indirectly, offer or provide any money, benefit or Consideration to any Tournament Support Personnel in exchange for any information or benefit relating to a tournament.
- k.** No Covered Person may be employed or otherwise engaged by a company which accepts wagers on Events.

**2. Reporting Obligation.**

**a. Players.**

- i.** In the event any Player is approached by any person who offers or provides any type of money, benefit or Consideration to a Player to (i) influence the outcome or any other aspect of any Event, or (ii) provide Inside Information, it shall be the Player's obligation to report such incident to the TIU as soon as possible.
- ii.** In the event any Player knows or suspects that any other Covered Person or other individual has committed a Corruption Offense, it shall be the Player's obligation to report such knowledge or suspicion to the TIU as soon as possible.
- iii.** If any Player knows or suspects that any Covered Person has been involved in an incident described in Section D.2.b. below, a Player shall be obligated to report such knowledge or suspicion to the TIU as soon as possible.
- iv.** A Player shall have a continuing obligation to report any new knowledge or suspicion regarding any Corruption Offense, even if the Player's prior knowledge or suspicion has already been reported.

**b. Related Persons and Tournament Support Personnel.**

- i.** In the event any Related Person or Tournament Support Person is approached by any person who offers or

provides any type of money, benefit or Consideration to a Related Person or Tournament Support Person to (i) influence or attempt to influence the outcome of any aspect of any Event, or (ii) provide Inside Information, it shall be the Related Person's or Tournament Support Person's obligation to report such incident to the TIU as soon as possible.

- ii. In the event any Related Person or Tournament Support Person knows or suspects that any Covered Person or other individual has committed a Corruption Offense, it shall be the Related Person's or Tournament Support Person's obligation to report such knowledge or suspicion to the TIU as soon as possible.
- c. For the avoidance of doubt, (i) a failure of the Reporting Obligation by any Covered Person; and/or (ii) a failure of the duty to cooperate under Section F.2 shall constitute a Corruption Offense for all purposes of the Program.

#### **E. Additional Matters**

1. Each Player shall be responsible for any Corruption Offense committed by any Covered Person if such Player either (i) had knowledge of a Corruption Offense and failed to report such knowledge pursuant to the reporting obligations set forth in Section D.2. above or (ii) assisted the commission of a Corruption Offense. In such event, the AHO shall have the right to impose sanctions on the Player to the same extent as if the Player had committed the Corruption Offense.
2. For a Corruption Offense to be committed, it is sufficient that an offer or solicitation was made, regardless of whether any money, benefit or Consideration was actually paid or received.
3. Evidence of a Player's lack of efforts or poor performance during an Event may be offered to support allegations that a Covered Person committed a Corruption Offense, but the absence of such evidence shall not preclude a Covered Person from being sanctioned for a Corruption Offense.
4. A valid defense may be made to a charge of a Corruption Offense if the person alleged to have committed the Corruption Offense (a) promptly reports such conduct to the TIU and (b) demonstrates that such conduct was the result of an honest and reasonable belief that there was a significant threat to the life or safety of such person or any member of such person's family.



## **F. Investigation and Procedure**

### **1. Anti-Corruption Hearing Officer.**

- a.** The TIB shall appoint one or more independent AHOs, who shall be responsible for (i) determining whether Corruption Offenses have been committed, and (ii) fixing the sanctions for any Corruption Offense found to have been committed.
- b.** An AHO shall serve a term of two years, which may thereafter be renewed in the discretion of the TIB. If an AHO becomes unable to serve, a new AHO may be appointed for a full two-year term pursuant to this provision.

### **2. Investigations.**

- a.** The TIU shall have the right to conduct an initial interview and follow-up interviews, if necessary as determined solely by the TIU, with any Covered Person in furtherance of investigating the possibility of a commission of a Corruption Offense.
  - i.** The date and time of all interviews shall be determined by the TIU, giving reasonable allowances for Covered Persons' tournament and travel schedules.
  - ii.** The Covered Person shall have the right to have counsel attend the interview(s).
  - iii.** The interview shall be recorded. The recorded interviews shall be used for transcription and evidentiary purposes and thereafter shall be retained by the TIU for a minimum of 3 years in a secure place.
  - iv.** The Covered Person shall have the right to request an interpreter, and the cost shall be borne by the TIU.
  - v.** Transcripts of the interview shall be provided to the Covered Person, upon request, within a reasonable period of time following the conclusion of the interview.
- b.** All Covered Persons must cooperate fully with investigations conducted by the TIU including giving evidence at hearings, if requested. No Covered Person shall tamper with or destroy any evidence or other information related to any Corruption Offense.

- c. If the TIU believes that a Covered Person may have committed a Corruption Offense, the TIU may make a Demand to any Covered Person to furnish to the TIU any information regarding the alleged Corruption Offense, including, without limitation, (i) records relating to the alleged Corruption Offense (including, without limitation, itemized telephone billing statements, text of SMS messages received and sent, banking statements, Internet service records, computers, hard drives and other electronic information storage devices), and (ii) a written statement setting forth the facts and circumstances with respect to the alleged Corruption Offense. The Covered Person shall furnish such information within seven business days of the making of such Demand, or within such other time as may be set by the TIU. Any information furnished to the TIU shall be (i) kept confidential except when it becomes necessary to disclose such information in furtherance of the prosecution of a Corruption Offense, or when such information is reported to administrative, professional, or judicial authorities pursuant to an investigation or prosecution of non sporting laws or regulations and (ii) used solely for the purposes of the investigation and prosecution of a Corruption Offense.
  - d. By participating in any Event, or accepting accreditation at any Event, a Covered Person contractually agrees to waive and forfeit any rights, defenses, and privileges provided by any law in any jurisdiction to withhold information requested by the TIU or the AHO. If a Covered Person fails to produce such information, the AHO may rule a Player ineligible to compete, and deny a Covered Person credentials and access to Events, pending compliance with the Demand.
  - e. If a PTIO concludes that a Corruption Offense may have been committed, the PTIO shall refer the matter and send the evidence to the AHO, and the matter shall proceed to a Hearing before the AHO in accordance with Section G of this Program.
3. Each Covered Person shall be determined to be immediately contactable at the most current postal address provided to a Governing Body. Any Notice delivered hereunder to a Covered Person at such address, shall be deemed to have been received by the Covered Person on date of delivery to such address in the confirmation of delivery provided by the courier service company. At its discretion, as an alternative to or in conjunction with the courier delivery, any other method of secure and confidential communication may be used, including, but not limited to hand delivery, facsimile or e-mail, provided that the burden of proving receipt via such alternative methods shall be on the sending party.

## **G. Due Process**

### **1. Commencement of Proceedings.**

- a.** When the PTIO refers a matter to the AHO pursuant to Section F.2.e, the PTIO shall send a Notice to each Covered Person alleged to have committed a Corruption Offense, with a copy to the AHO, setting out the following:
  - i.** the Corruption Offense(s) alleged to have been committed, including the specific Section(s) of this Program alleged to have been infringed;
  - ii.** the facts upon which such allegations are based;
  - iii.** the potential sanctions prescribed under this Program for such Corruption Offense(s); and
  - iv.** the Covered Person's entitlement to have the matter determined by the AHO at a Hearing.
- b.** The Notice shall also specify that, if the Covered Person wishes to dispute the PTIO allegations, the Covered Person must submit a written request to the AHO for a Hearing so that it is received as soon as possible, but in any event within fourteen business days of the date of the receipt of Notice as defined in Article F.3.
- c.** A Covered Person shall direct any response to a Notice to the AHO with a copy to the PTIO and may respond in one of the following ways:
  - i.** To admit the Corruption Offense and accede to the imposition of sanctions, in which case no hearing shall be conducted and the AHO shall promptly issue a Decision confirming the commission of the Corruption Offense(s) alleged in the Notice and ordering the imposition of sanctions, which shall be determined by the AHO after requesting and giving due consideration to a written submission from the PTIO on the recommended sanction.
  - ii.** To deny the Corruption Offense and to have the AHO determine the charge, and if the charge is upheld, the sanctions, at a hearing conducted in accordance with Section G.2.





shorter period) upon which the Hearing shall be held. Subject to the foregoing sentence, the Hearing shall be commenced as soon as practicable after the Notice is sent, and ordinarily within ninety days of the date that the Covered Person requests a Hearing. If the AHO has imposed a provisional suspension, the Hearing shall ordinarily be held within sixty days of the date that the Covered Person requests a hearing.

- ii.** establish dates reasonably in advance of the date of the Hearing at which:
  - 1.** the Covered Person shall submit a brief with argument on all issues that he or she wishes to raise at the Hearing;
  - 2.** the PTIO shall submit an answering brief, addressing the arguments of the Covered Person and setting out argument on the issues that the PTIO wishes to raise at the Hearing;
  - 3.** the Covered Person may submit a reply brief, responding to the PTIO answer brief; and
  - 4.** the Covered Person and the PTIO shall exchange witness lists (with each witness's address, telephone number and a summary of the subject areas of the witness's anticipated testimony) and copies of the exhibits that they intend to introduce at the Hearing; and
- iii.** make such order as the AHO shall deem appropriate in relation to the production of relevant documents or other materials between the parties.
- h.** The AHO may, at any time prior to issuing a Decision, request that an additional investigation be conducted into any matter reasonably related to the alleged Corruption Offense. If the AHO requests such an additional investigation, the TIU shall conduct the investigation in accordance with the AHO's directions and shall report the findings of that investigation to the AHO and the Covered Person implicated in the alleged Corruption Offense at least ten days prior to the Hearing. If the Covered Person wishes to object to, or raise any issues in connection with, such additional investigation, he or she may do so by written submission to the AHO.

## **2. Conduct of Hearings.**

- a.** Hearings shall be conducted on a confidential basis. Unless the AHO orders otherwise for good cause shown by a party, each Hearing shall take place in either Miami, Florida, USA or London, England, as determined by the AHO.
- b.** The Covered Person shall have the right (i) to be present and to be heard at the Hearing and (ii) to be represented at the Hearing, at his or her expense, by legal counsel. The Covered Person may choose not to appear at the Hearing, but rather to provide a written submission for consideration by the AHO, in which case the AHO shall take such submission into account in making his or her Decision. However, the non-attendance of the Covered Person or his or her representative at the Hearing, after proper notice of the Hearing has been provided, shall not prevent the AHO from proceeding with the Hearing in his or her absence, whether or not any written submissions are made on his or her behalf.
- c.** The procedures followed at the Hearing shall be at the discretion of the AHO, provided that the Hearing shall be conducted in a fair manner with a reasonable opportunity for each party to present evidence (including the right to call and to question witnesses), address the AHO and present his, her or its case.
- d.** The PTIO shall make arrangements to have the Hearing recorded or transcribed at the PTIO expense. If requested by the Covered Person, the PTIO shall also arrange for an interpreter to attend the Hearing, at the PTIO expense.
- e.** Witness testimony presented in person or by video conference is acceptable.
- f.** The TIB as well as PTIO members shall be permitted to attend all hearings, in person or by audio or video conference.

## **3. Burdens and Standards of Proof.**

- a.** The PTIO (which may be represented by legal counsel at the Hearing) shall have the burden of establishing that a Corruption Offense has been committed. The standard of proof shall be whether the PTIO has established the commission of the alleged Corruption Offense by a preponderance of the evidence.
- b.** Where this Program places the burden of proof upon the Covered Person alleged to have committed a Corruption Offense to rebut a

presumption or establish facts or circumstances, the standard of proof shall be by a preponderance of the evidence.

- c. The AHO shall not be bound by any jurisdiction's judicial rules governing the admissibility of evidence. Instead, facts relating to a Corruption Offense may be established by any reliable means, as determined in the sole discretion of the AHO.

#### **4. Decisions.**

- a. Once the parties have made their submissions, the AHO shall determine whether a Corruption Offense has been committed. Where Section H of this Program specifies a range of possible sanctions for the Corruption Offense found to have been committed, the AHO shall also fix the sanction within that range, after considering any submissions on the subject that the parties may wish to make.
- b. The AHO shall issue a Decision in writing as soon as possible after the conclusion of the Hearing. Such Decision will be sent to the parties and shall set out and explain:
  - i. the AHO's findings as to what Corruption Offenses, if any, have been committed;
  - ii. the sanctions applicable, if any, as a result of such findings; and
  - iii. the rights of appeal applicable pursuant to Section I of this Program.
- c. The TIU shall pay all costs and expenses of the AHO and of staging the Hearing. The AHO shall not have the power to award costs or make any costs order against a Covered Person or the PTIO. Each party shall bear its own costs, legal, expert and otherwise.
- d. Subject only to the rights of appeal under Section I of this Program, the AHO's Decision shall be the full, final and complete disposition of the matter and will be binding on all parties. If the AHO determines that a Corruption Offense has been committed, the TIB will publicly report the Decision, unless otherwise directed by an AHO.

#### **H. Sanctions**

- 1. The penalty for any Corruption Offense shall be determined by the AHO in accordance with the procedures set forth in Section G, and may include:



- a. With respect to any Player, (i) a fine of up to \$250,000 plus an amount equal to the value of any winnings or other amounts received by such Covered Person in connection with any Corruption Offense, (ii) ineligibility for participation in any event organized or sanctioned by any Governing Body for a period of up to three years, and (iii) with respect to any violation of Section D.1, clauses (d)-(j) and Section D.2., ineligibility for participation in any event organized or sanctioned by any Governing Body for a maximum period of permanent ineligibility.
  - b. With respect to any Related Person or Tournament Support Person, (i) a fine of up to \$250,000 plus an amount equal to the value of any winnings or other amounts received by such Covered Person in connection with any Corruption Offense; (ii) suspension of credentials and access to any Event organized, sanctioned or recognized by any Governing Body for a period of not less than one year, and (iii) with respect to any violation of clauses (c)-(i) of Section D.1., suspension of credentials and access to any Event organized, sanctioned or recognized by any Governing Body for a maximum period of permanent revocation of such credentials and access.
  - c. No Player who has been declared ineligible may, during the period of ineligibility, participate in any capacity in any Event (other than authorized anti-gambling or anti-corruption education or rehabilitation programs) organized or sanctioned by any Governing Body. Without limiting the generality of the foregoing, such Player shall not be given accreditation for, or otherwise granted access to, any competition or event to which access is controlled by any Governing Body, nor shall the Player be credited with any points for any competition played during the period of ineligibility.
2. The TIU may report information regarding an investigation to the TIB and the PTIOs at any time.
3. The TIB may report Corruption Offenses that also violate non-sporting laws and regulations to the competent administrative, professional or judicial authorities.
4. If any Covered Person commits a Corruption Offense under this program during a period of ineligibility, it shall be treated as a separate Corruption Offense under this Program.
5. Substantial Assistance. The AHO may reduce any period of ineligibility, either at the time of the original decision or subsequently

(by reconvening), if the Covered Person has provided substantial assistance to the PTIO or the TIU that results in the discovery or establishing of a corruption offense by another Covered Person. Upon application by the Covered Person pursuant to this provision, the AHO shall establish an appropriate procedure for consideration of the application, including the opportunity for the Covered Person and the PTIO to make submissions regarding the application. The AHO has complete discretion in consideration an application for reduction of a penalty under this provision.

## **I. Appeals**

1. Any Decision (i) that a Corruption Offense has been committed, (ii) that no Corruption Offense has been committed, (iii) imposing sanctions for a Corruption Offense, or (iv) that the AHO lacks jurisdiction to rule on an alleged Corruption Offense or its sanctions, may be appealed exclusively to CAS in accordance with CAS's Code of Sports-Related Arbitration and the special provisions applicable to the Appeal Arbitration Proceedings, by either the Covered Person who is the subject of the Decision being appealed, or the TIB.
2. Any Decision appealed to CAS shall remain in effect while under appeal unless CAS orders otherwise.
3. The deadline for filing an appeal with CAS shall be twenty business days from the date of receipt of the Decision by the appealing party.
4. The decision of CAS shall be final, non-reviewable, non-appealable and enforceable. No claim, arbitration, lawsuit or litigation concerning the dispute shall be brought in any other court or tribunal.

## **J. Conditions of Reinstatement**

1. Once a Covered Person's period of ineligibility or suspension has expired and the Covered Person has paid all fines and/or prize money forfeitures, the Covered Person will become automatically eligible and no application by the Covered Person for reinstatement will be necessary.
2. All fines and/or prize money forfeitures imposed on players hereunder must be paid within thirty (30) days following the later of the receipt of an AHO decision or, if appealed to CAS, the receipt of the CAS decision. If not paid within the prescribed timeframe, the player shall be ineligible for participation in any event organized or sanctioned by any Governing Body until such time as the fine and/or prize money forfeitures have been paid in full. The AHO and the PTIO shall have

the discretion to establish an installment plan for payment of any fines and/or prize money forfeitures. For the avoidance of doubt, the schedule of payments pursuant to such plan may extend beyond any period of ineligibility; however, a default in payment under such plan shall automatically trigger a period of ineligibility until such default is cured.

## **K. General**

1. No action may be commenced under this Program against any Covered Person for any Corruption Offense unless such action is commenced within either (i) eight years from the date that the Corruption Offense allegedly occurred or (ii) two years after the discovery of such alleged Corruption Offense, whichever is later.
2. Section headings within this Program are for the purpose of guidance only and do not form part of the Program itself. Nor do they inform or affect the language of the provisions to which they refer.
3. This Program shall be governed in all respects (including, but not limited to, matters concerning the arbitrability of disputes) by the laws of the State of Florida, without reference to conflict of laws principles.
4. In the event any provision of this Program is determined invalid or unenforceable, the remaining provisions shall not be affected. This Program shall not fail because any part of this Program is held invalid.
5. Except as otherwise stated herein, failure to exercise or enforce any right conferred by the Program shall not be deemed to be a waiver of any such right nor operate so as to bar the exercise or enforcement thereof or of any other right on any other occasion.
6. This Program is applicable prospectively to Corruption Offenses occurring on or after the date that this Program becomes effective. Corruption Offenses occurring before the effective date of this Program are governed by the former rules of the Governing Bodies which were applicable on the date that such Corruption Offense occurred.
7. Except as otherwise agreed to by the parties, all filings, Decisions, Hearings and appeals shall be issued or conducted in English.

## **Appendix 1**

Grand Slam Tournaments (Excluding the Junior Competition)

ATP World Tour Finals

ATP World Tour Masters 1000

ATP World Tour 500

ATP World Tour 250  
ATP Challenger Tour Tournaments  
WTA Finals  
WTA Elite Trophy  
WTA Premier and International Tournaments  
WTA 125K Series  
ITF Pro Circuit Tournaments  
Davis Cup  
Fed Cup  
Hopman Cup  
Olympic Tennis Event

Any new tournament introduced by any one of the Governing Bodies must be agreed by the Tennis Integrity Board.

## **ARTICLE XI: DRUG OFFENCES**

Players entering a Grand Slam tournament agree, as a condition of entry, that the Tennis Anti-Doping Programme (the “TADP”) applies to the Grand Slam Tournaments, and all players who participate in those tournaments and all of their “Player Support Personnel” (as defined in the TADP) shall be deemed to have agreed to be bound by and to comply with all of the provisions of the TADP. The TADP may be downloaded at [www.itftennis.com](http://www.itftennis.com).

## APPENDIX A

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